

# **INTERNATIONAL HYDROGRAPHIC ORGANIZATION**



## **IHO GEOSPATIAL STANDARD FOR MARINE TRAFFIC MANAGEMENT**

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**Marine Traffic Management - Product Specification**

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### Revision History

Changes to this Product Specification are coordinated by the IHO **Nautical Information Provision Working Group (NIPWG)**. New editions will be made available via the IHO web site.

Maintenance of the Product Specification shall conform to IHO Technical Resolution 2/2007 (revised 2010).

Version Number	Date	Author	Purpose
0.1	2018-05-31		First draft
0.2	2018-08-24	RM	Address comments in the June 2018 round of NIPWG reviews; conform to draft S-100 Edition 4.0.0 (July 2018).
1.0.0 RC1	2018-11-29	RM	Address comments received during second round of NIPWG review; harmonize with October 2018 drafts of S-100 Ed. 4.0.0 and S-101 Ed. 1.0.0.

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## **1 Overview**

### **1.1 Introduction**

This document has been produced by the IHO Nautical Information Provision Working Group (NIPWG) in response to a requirement to produce a data product that can be used as a Nautical Publication Information Overlay (NPIO) within an Electronic Chart Display and Information Systems (ECDIS). It is based on the IHO S-100 framework specification and the ISO 19100 series of standards. It is a vector product specification that is primarily intended for encoding the extent and nature of Marine Traffic Management, for navigational purposes.

Marine Traffic Management (MTM) datasets describe the availability and reliability of vessel traffic services, pilotage, routing measures, and ship reporting systems. This includes their service areas, services offered, and instructions for contacting or utilizing these services. MTM is intended to be a supplement to ENC, and therefore does not describe the geographic information in detail equal to ENC, rather it is shown as a simplified geometry to indicate location, and to be a means of geolocating more regulatory information than the typical ENC.

## **2 References**

### **2.1 Normative**

The following normative documents contain provisions that, through reference in this text, constitute provisions of this document.

IHO S-100 IHO Universal Hydrographic Data Model Edition 4.0.0 (December 2018).

ISO 639-2/T Codes for the representation of names of languages – Part 2: Alpha-3 code

ISO 8601:2004. *Data elements and interchange formats - Information interchange - Representation of dates and times*. 2004.

ISO 3166-1. 1997. *Country Codes*. 1997.

ISO/TS 19103:2005 Geographic Information - Conceptual schema language

ISO 19106:2004 Geographic Information – Profiles

ISO 19107:2003 Geographic Information – Spatial schema

ISO 19109:2005 Geographic Information - Rules for Application Schema

ISO 19111:2003 Geographic Information - Spatial referencing by coordinates

ISO 19115-1 Geographic information – Metadata – Part 1 - Fundamentals. As amended by Amendment 01 (2018)

ISO/TS 19115-3 Geographic information - Metadata - XML schema implementation for fundamental concepts

ISO 19131:2007 Geographic Information - Data product specifications

ISO 19136:2007 Geographic Information – Geography Markup Language

ISO 19136-2:2015, Geographic Information – Geography Markup Language.

## 2.2 Informative

The following informative documents provide additional information, including background information, but are not required to develop applications for data conforming to this specification.

ISO/IEC 19757-3, Information technology – Document Schema Definition Languages (DSDL) – Part 3: Rule-based validation – Schematron.

IHO S-101 IHO Electronic Navigational Chart Product Specification Edition 1.0.0 (December 2018).

ISO 19115:2006 ISO 19115:2003 Geographic Information – Metadata. As amended by Amendment 1 (2006).

IHO S-97 IHO Guideline for creating S-100 product specifications – Part C. (Draft 0.2, August 2018).

## 3 Terms, definitions, and abbreviations

### 3.1 Terms and definitions

The S-100 framework is based on the ISO 19100 series of geographic standards. The terms and definitions provided here are used to standardize the nomenclature found within that framework, whenever possible. They are taken from the references cited in clause 2.1. Modifications have been made when necessary.

**application**

manipulation and processing of data in support of user requirements (ISO 19101)

**application schema**

**conceptual schema** for data required by one or more **applications** (ISO 19101)

**conceptual model**

model that defines concepts of a **universe of discourse** (ISO 19101)

**conceptual schema**

formal description of a **conceptual model** (ISO 19101)

**coverage**

**feature** that acts as a function to return values from its range for any direct position within its spatial, temporal or spatiotemporal **domain** (ISO 19123)

*EXAMPLE Raster image, polygon overlay, digital elevation matrix.*

**data product**

**dataset** or **dataset series** that conforms to a **data product specification**

**data product specification**

detailed description of a **dataset** or **dataset series** together with additional information that will enable it to be created, supplied to, and used by another party

*NOTE: A data product specification provides a description of the universe of discourse and a specification for mapping the universe of discourse to a dataset. It may be used for production, sales, end-use, or other purpose.*



**dataset**

identifiable collection of data (ISO 19115:2006)

*NOTE: A dataset may be a smaller grouping of data which, though limited by some constraint such as spatial extent or feature type, is located physically within a larger dataset.*

*Theoretically, a dataset may be as small as a single feature or feature attribute contained within a larger dataset. A hardcopy map or chart may be considered a dataset.*

**dataset series**

collection of **datasets** sharing the same product specification (ISO 19115:2006)

**domain**

well-defined set (ISO/TS 19103)

*NOTE: Well-defined means that the definition is both necessary and sufficient, as everything that satisfies the definition is in the set and everything that does not satisfy the definition is necessarily outside the set.*

**feature**

abstraction of real world phenomena (ISO 19101)

*NOTE: A feature may occur as a type or an instance. Feature type or feature instance shall be used when only one is meant.*

**feature association**

relationship that links instances of one **feature** type with instances of the same or a different **feature** type (ISO19110)

*NOTE 1: A feature association may occur as a type or an instance. Feature association type or feature association instance is used when only one is meant.*

*NOTE 2: Feature associations include aggregation of features.*

**feature attribute**

characteristic of a **feature** (ISO 19101)

*NOTE 1: A feature attribute may occur as a type or an instance. Feature attribute type or feature attribute instance is used when only one is meant.*

*NOTE 2: A feature attribute type has a name, a data type and a domain associated to it. A feature attribute for a feature instance has an attribute value taken from the domain.*

**geographic data**

data with implicit or explicit reference to a location relative to the Earth (ISO 19109)

*NOTE: Geographic information is also used as a term for information concerning phenomena implicitly or explicitly associated with a location relative to the Earth.*

**metadata**

data about data (ISO 19115:2006)

**model**

abstraction of some aspects of reality (ISO 19109)

**portrayal**

presentation of information to humans (ISO 19117)

**quality**

totality of characteristics of a product that bear on its ability to satisfy stated and implied needs (ISO 19101)

**universe of discourse**

view of the real or hypothetical world that includes everything of interest (ISO 19101)

### **3.2 Abbreviations**

This product specification adopts the following convention for symbols and abbreviated terms:

ASCII American Standard Code for Information Interchange  
ECDIS Electronic Chart Display and Information Systems  
ENC Electronic Navigational Chart  
GML Geography Markup Language  
IHO International Hydrographic Organization  
IOC International Oceanographic Commission  
ISO International Organization for Standardization  
JPEG Joint Photographic Experts Group  
MTM Marine Traffic Management  
NIPWG Nautical Information Provision Working Group  
NPIO Nautical Publication Information Overlay  
PNG Portable Network Graphics  
SVG Scalable Vector graphics  
TIFF Tagged Image File Format  
UML Unified Modelling Language  
URI Uniformed Resource Identifier  
URL Uniform Resource Locator  
WGS World Geodetic System  
XML eXtensible Markup Language  
XSD XML Schema Definition  
XSLT eXtensible Stylesheet Language Transformations

### **3.3 Use of language**

Within this document, including appendices and annexes:

- “Must” indicates a mandatory requirement.
- “Should” indicates an optional requirement, that is the recommended process to be followed, but is not mandatory.
- “May” means “allowed to” or “could possibly”, and is not mandatory.

### **3.4 UML notations**

In this document, conceptual schemas are presented in the Unified Modelling Language (UML). Several model elements used in this schema are defined in ISO standards or in IHO S-100 documents. In order to ensure that class names in the model are unique ISO TC/211 has adopted a convention of establishing a prefix to the names of classes that define the TC/211 defined UML package in which the UML class is defined. The IHO standards and this product specification make use of classes derived directly from the ISO standards. This convention is also followed in this document. In the IHO standards class names are identified by the name of the standard, such as "S100" as the prefix optionally followed by the bi-alpha prefix derived from

ISO standard. In order to avoid having multiple classes instantiating the same root classes, the ISO classes and S-100 classes have been used where possible; however, a new instantiated class is required if there is a need to alter a class or relationship to prevent a reverse coupling between the model elements introduced in this document and those defined in S-100 or the ISO model.

## **4 Specification description**

### **4.1 Informal description of data product**

This clause contains general information about the data product.

<b>Title:</b>	Marine Traffic Management Product Specification.
<b>Abstract:</b>	Marine Traffic Management (MTM) datasets describe the availability and reliability of vessel traffic services, pilotage, routing measures, and ship reporting systems. This includes their service areas, services offered, and instructions for contacting or utilizing these services. MTM is intended to be a supplement to ENC, and therefore does not describe the geographic information in detail equal to ENC, rather it is shown as a simplified geometry to indicate location, and to be a means of geolocating more regulatory information than the typical ENC.
<b>Content:</b>	Datasets conforming to this specification will contain all relevant MTM information for the area of coverage. Additionally, there will be relevant metadata data quality, production authority, data sources, and publication date.
<b>Spatial Extent:</b>	Global coverage of maritime areas.
<b>Specific Purpose:</b>	Describing traffic management in the maritime domain for utilization in ECDIS, and to allow the producer to exchange marine traffic management information with interested stakeholders.

### **4.2 Data product specification metadata**

This information uniquely identifies this Product Specification and provides information about its creation and maintenance. For further information on dataset metadata see the metadata clause.

<b>Title:</b>	Marine Traffic Management
<b>S-100 Version:</b>	4.0.0
<b>S-127 Version:</b>	1.0.0
<b>Date:</b>	2018-11-29
<b>Language:</b>	English
<b>Classification:</b>	Unclassified

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**URL:** <http://www.iho.int>

**Identifier:** S-127

**Maintenance:** Amendments to this specification will be produced on a needs basis. For reporting issues with this specification which need correction, use the contact information.

### **4.3 Product specification maintenance**

#### **4.3.1 Introduction**

Changes to S-127 will be released by the IHO as a new edition, a revision, or as a document that includes clarification. These are described below.

#### **4.3.2 New edition**

New Editions introduce significant changes. New Editions enable new concepts, such as the ability to support new functions or applications, or the introduction of new constructs or data types. New Editions are likely to have a significant impact on either existing users or future users of S-127.

#### **4.3.3 Revisions**

Revisions are defined as substantive semantic changes. Typically, revisions will introduce change to correct factual errors; introduce necessary changes that have become evident as a result of practical experience or changing circumstances. A revision must not be classified as a clarification. Revisions could have an impact on either existing users or future users of this specification. All cumulative clarifications will be included with the release of approved corrections revisions.

Changes in a revision are minor and ensure backward compatibility with the previous versions within the same Edition. Newer revisions, for example, introduce new features and attributes. Within the same Edition, a dataset of one version could always be processed with a later version of the feature and portrayal catalogues. In most cases a new feature or portrayal catalogue will result in a revision of this specification.

#### **4.3.4 Clarification**

Clarifications are non-substantive changes. Typically, clarifications: remove ambiguity; correct spelling, punctuation, or grammar errors; amend or update cross references; insert improved graphics. Clarification must not cause any substantive semantic changes.

Changes in a clarification are minor and ensure backward compatibility with the previous versions within the same Edition. Within the same Edition, a dataset of one clarification version could always be processed with a later version of the feature and portrayal catalogues, and a portrayal catalogue can always rely on earlier versions of the feature catalogues.

Changes in a clarification are minor and ensure backward compatibility with the previous versions.

**4.3.5 Version numbers**

The associated version control numbering to identify changes (n) to this specification must be as follows:

- New Editions denoted as **n.0.0**
- Revisions denoted as **n.n.0**
- Clarifications denoted as **n.n.n**

**4.4 Specification scope**

This product specification describes one data product and therefore requires only one scope which is described below:

- Scope ID:** Marine Traffic Management datasets.
- Hierarchical level:** MD\_ScopeCode - 005 (dataset)
- Hierarchical level name:** MTM dataset
- Level description:** information applies to the dataset
- Extent:** EX\_Extent.description: Global coverage of maritime areas

**5 Data product identification**

This clause describes how to identify data sets that conform to the specification. A dataset that conforms to this Product Specification may be identified by its discovery metadata as defined in clause 12 of this specification. The information identifying the data product may include the following items from S-100 4.0.0 clause 11-6 (adapted from ISO 19115-1).

- title** Marine Traffic Management
- abstract** Marine Traffic Management dataset is a vector dataset containing all maritime navigationally relevant information regarding the traffic management within a defined geographical area.
- alternate title** MTM
- content** Marine Traffic Management information, such as the availability and reliability of vessel traffic services, pilotage, routing measures, and ship reporting systems. This includes

their service areas, services offered, and instructions for contacting or utilizing these services.

<b>geographicDescription</b>	<b>EX_GeographicDescription:</b> E.g., official name of region
<b>spatialResolution</b>	MD_Resolution>equivalentScale.denominator (integer) or MD_Resolution>levelOfDetail (CharacterString). E.g.: "All scales"
<b>purpose</b>	Describing traffic management in the maritime domain for utilization in ECDIS, and to allow the producer to exchange Marine Traffic Management information with interested stakeholders.
<b>language</b>	EN  Additional values, if any, use CharacterString values from ISO 639-2

## 6 Data content and structure

### 6.1 Introduction

The S-127 product is based on the S-100 General Feature Model (GFM), and is a feature-based vector product. Figure 1 shows how the S-127 application schema is realized from the S-100 GFM. All S-127 features and information classes are derived from one of the abstract classes **FeatureType** and **InformationType** defined in the S-127 application schema, which realize the GFM meta-classes **S100\_GF\_FeatureType** and **S100\_GF\_InformationType** respectively. Marine Traffic Management (MTM) features are encoded as vector entities which conform to S-100 geometry configuration level 3b (S-100 clause 7-5.3.5). S-127 further constrains Level 3a with the following:

- Coincident linear geometry must be avoided when there is a dependency between features.
- The interpolation of arc by centre point and circle by centre point curve segments must be circular arcs with centre and radius, as described in S-100 §§ 7-4.2.1, 7-4.2.20, and 7-4.2.21.
- The interpolation of other GM\_CurveSegment must be loxodromic.
- Linear geometry is defined by curves which are made of curve segments. Each curve segment contains the geographic coordinates as control points and defines an interpolation method between them. The distance between two consecutive control points must not be less than 0.3 mm at a display scale of 1:10000.

The following exception applies to S-127:

- The use of coordinates is restricted to two dimensions (DirectPosition is restricted to two coordinates).
-

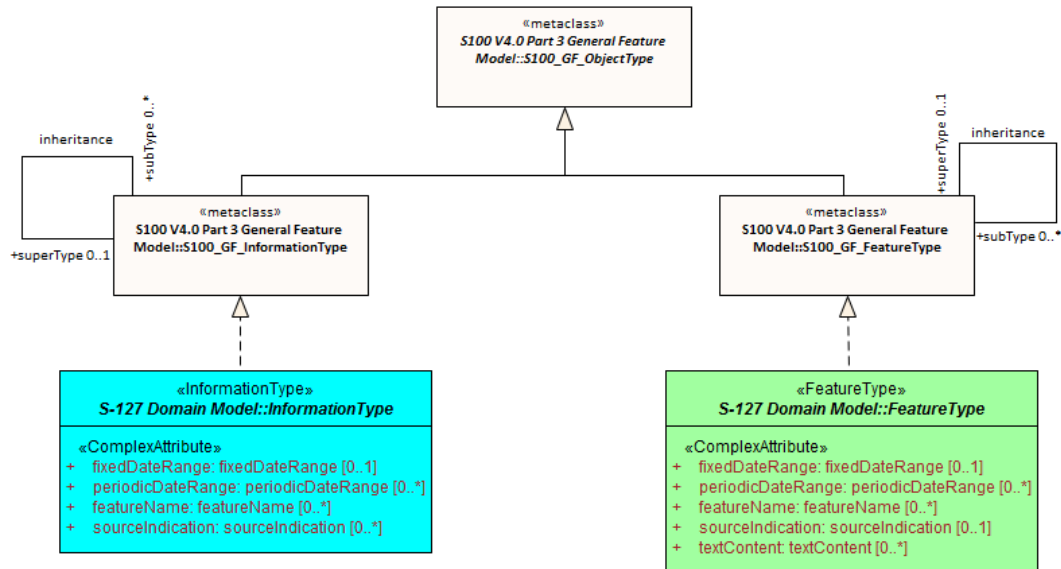


Figure 1. Realizations from the S-100 General Feature Model

This clause contains the Application Schema expressed in UML and an associated Feature Catalogue. The Feature Catalogue is included in Annex C, and provides a full description of each feature type including its attributes, attribute values, and relationships in the data product. Figure 2 shows an overview of the S-127 application schema.

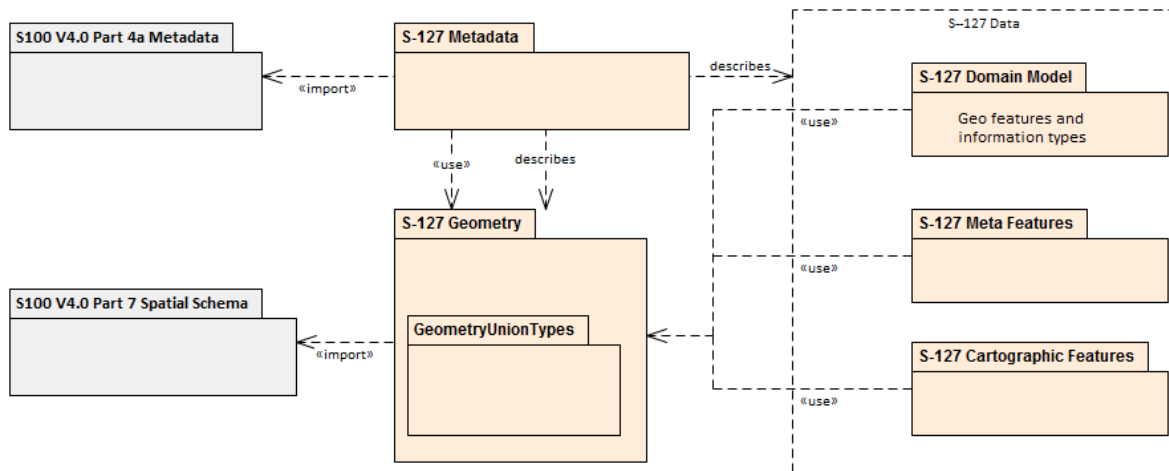


Figure 2. S-127 Data model overview

The classes comprising the S-127 application schema are divided into three packages. The first package, the Domain model, contains the features and information types that model the MTM application domain specifically. Meta-features that provide quality and coverage information are contained within their own package. The last package is Cartographic Features, which allow dataset creators to provide cartographically necessary placements where required. Geographic features in all packages use the spatial types from S-100 Part 7, which are imported as-is into the S-127 spatial types package and therefore can be used as types for S-127 spatial attributes. The Geometry package also contains definitions of 'union types' (combinations of the S-100

spatial types). S-100 allows features to have different kinds of geometry, however UML does not allow an attribute of a class to have multiple types. The S-127 application schema models spatial attributes as attributes of feature classes.

## 6.2 Application schema

The UML models in this clause are segments of the overall S-127 application schema, and include overviews of the feature classes, information classes, meta features, spatial types, and the relationships between them.

This clause contains a general overview of the classes and relationships in the S-127 application schema. Detailed information about how to use the feature types and information types to encode Marine Traffic Management information is provided in the S-127 Data Classification and Encoding Guide (DCEG).

The following conventions are used in the UML diagrams depicting the application schema:

- Standard UML conventions for classes, associations, inheritance, roles, and multiplicities apply. These conventions are described in Part 1 of S-100.
- *Italic* font for a class name indicates an abstract class.
- Feature classes are depicted with green background; the dark shade for abstract feature classes and the light shade for ordinary (non-abstract) feature classes.
- Information type classes are depicted with blue background; the dark shade for abstract information type classes and the light shade for ordinary information types.
- Association classes are depicted with a white background.
- Complex attributes are depicted with a pink background.
- Enumeration lists and codelists are depicted with a tan background. The numeric code corresponding to each listed value is shown to its right following an '=' sign.
- No significance attaches to the colour of associations. (Complex diagrams may use different colours to distinguish associations that cross one another.)
- Where the association role or name is not explicitly shown, the default rules for roles and names apply:
  - The role name is 'the<CLASSNAME>' where <CLASSNAME> is the name of the class to which that association end is linked.
  - The association name is '<CLASSNAME1>\_<CLASSNAME2>' where <CLASSNAME1> is the source and <CLASSNAME2> the target. In case of a feature/information association the feature is the source. For feature/feature or information/information associations without explicit names the source/target are indicated by an arrowhead.
- Subclasses inherit the attributes and associations of their superclasses at all levels, unless such inheritance is explicitly overridden in the subclass.

### 6.2.1 Domain model

The S-127 domain model has two base classes ('root classes') from which all the domain-specific geographic features and information type classes are derived. The base classes are shown in Figure 3 below. The base class for geographic features is **FeatureType** and the base class for information types is **InformationType**. Each of the two base classes has a set of attributes which are therefore inherited by all domain-specific features. The approximate area features in S-127 are also derived from the geographic feature root class. Both base classes are



abstract classes and do not have direct instances in S-127 data – instead, S-127 feature and information type data objects are instantiations of a non-abstract class derived from one of these base classes.

S-127 meta- and cartographic features are not derived from these base classes – S-127 instead incorporates meta- and cartographic feature definitions originally prepared for S-101 in the interests of harmonization and interoperability with other S-100-based data products, especially S-101 ENC.

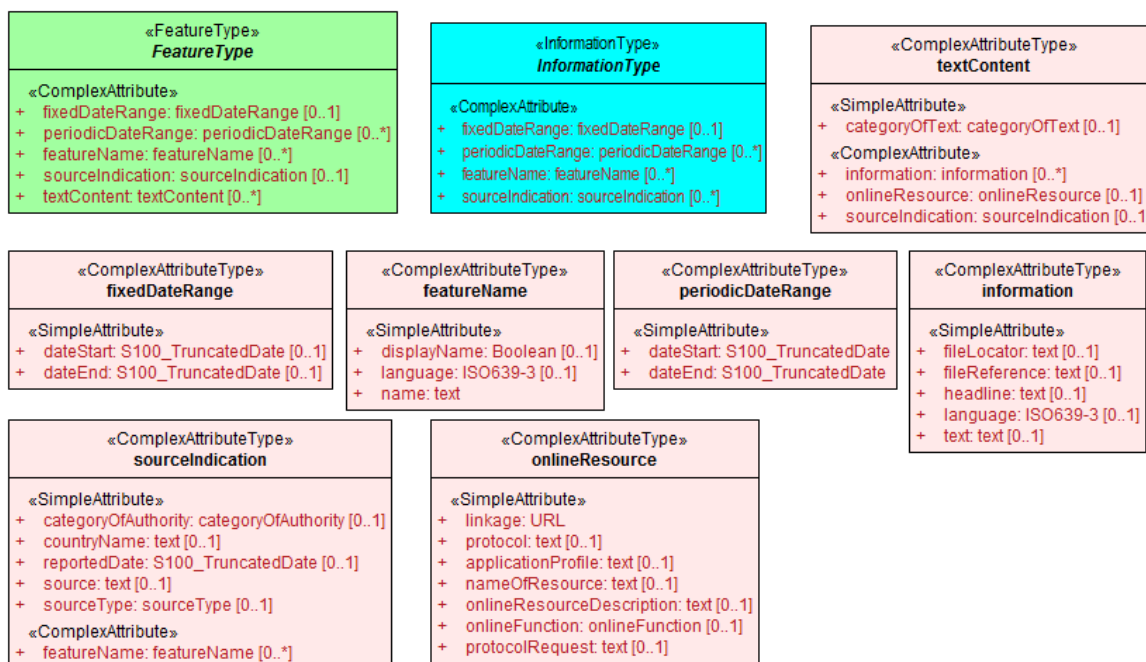


Figure 3. Base classes in S-127 and their attributes

### 6.2.1.1 Overview of domain features and information types

Marine Traffic Management data products include tracks and routes, vessel traffic services, pilot services, underkeel clearance, and certain types of specially designated areas which affect ships routing. It does not include protected areas, radio services (radio stations, NAVTEX, weather or ice forecasts, NAVAREAs, METAREAs, etc.), natural conditions, or harbour services. The broad categories of geographic features included in the S-127 domain are:

- Tracks and routes, including IMO and non-IMO routing measures and recommended tracks.
- Vessel traffic services and related features such as calling-in points, radar ranges, and signal stations.
- Pilot districts, pilot boarding places, and pilot services.
- Water level information features, including underkeel clearance information features and waterways.
- Specially designated locations which affect navigation or provide traffic services, such as military practice areas, security areas, places of refuge, and areas needing special caution for reasons other than natural hazards or environmental protection.

Figure 4 contains all the geographic features in the S-127 application schema with their attributes. Figure 5 is a simplified version of Figure 4.



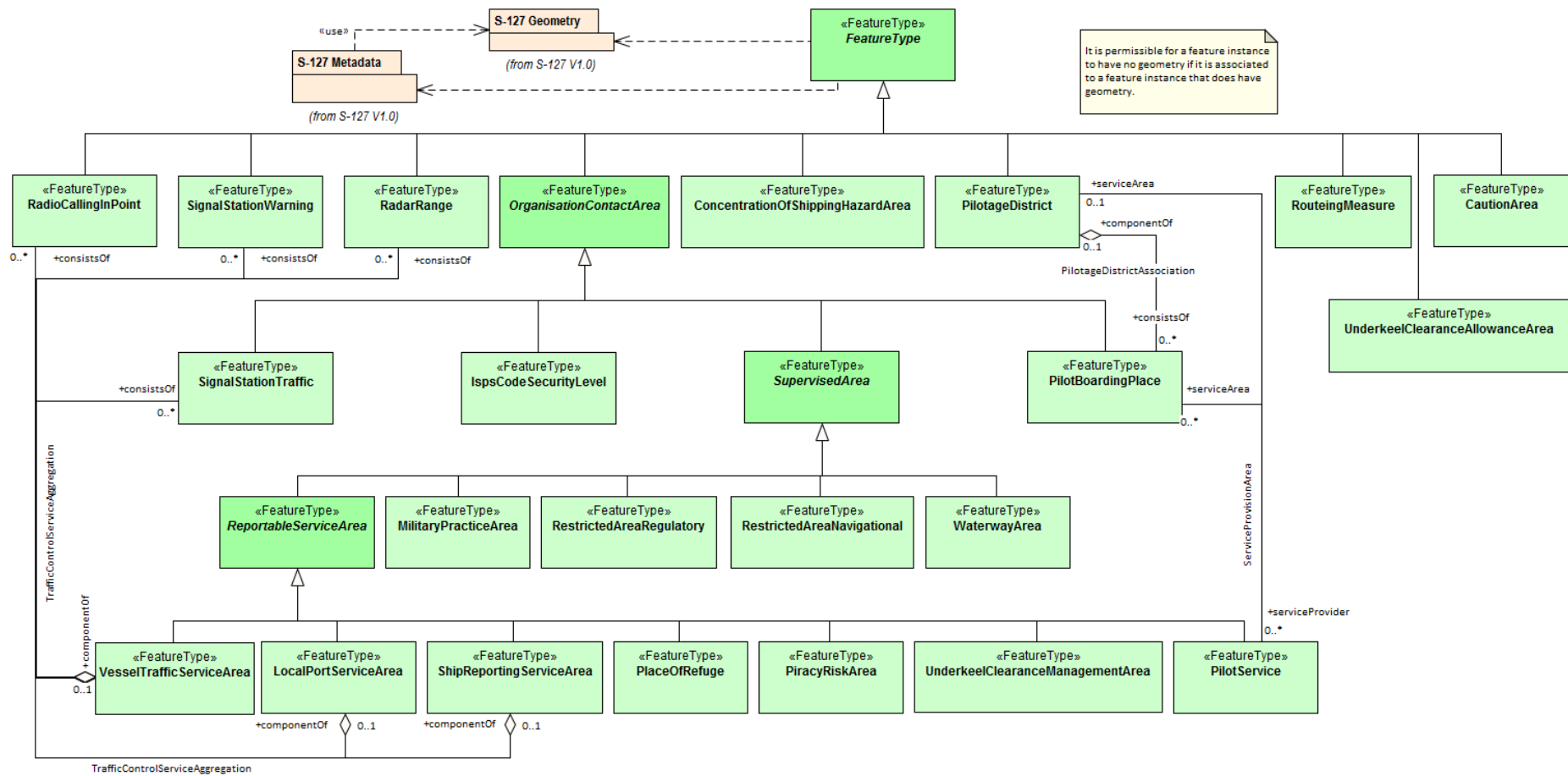


Figure 5. Overview of features (without attributes)

The abstract class **FeatureType** is an abstract class from which the geographic feature classes in the application schema are derived. **FeatureType** has attributes for fixed and periodic date ranges indicating the effective dates of the feature, name of the feature, source information, and a **textContent** attribute that allows text notes or references to be provided for individual feature instances where appropriate. The attributes defined in **FeatureType** are inherited by all S-127 geographic feature types. All the attributes in **FeatureType** are optional. A derived class may impose additional constraints, which will be described in the definition of the derived class or the S-127 DCEG.

Geographic features use spatial types defined in the geometry package for spatial attributes. Datasets comprised of S-127 features are described by metadata as defined in the S-127 metadata package. Metadata uses selected spatial types (specifically, it uses the polygon type to describe the coverage of a dataset).

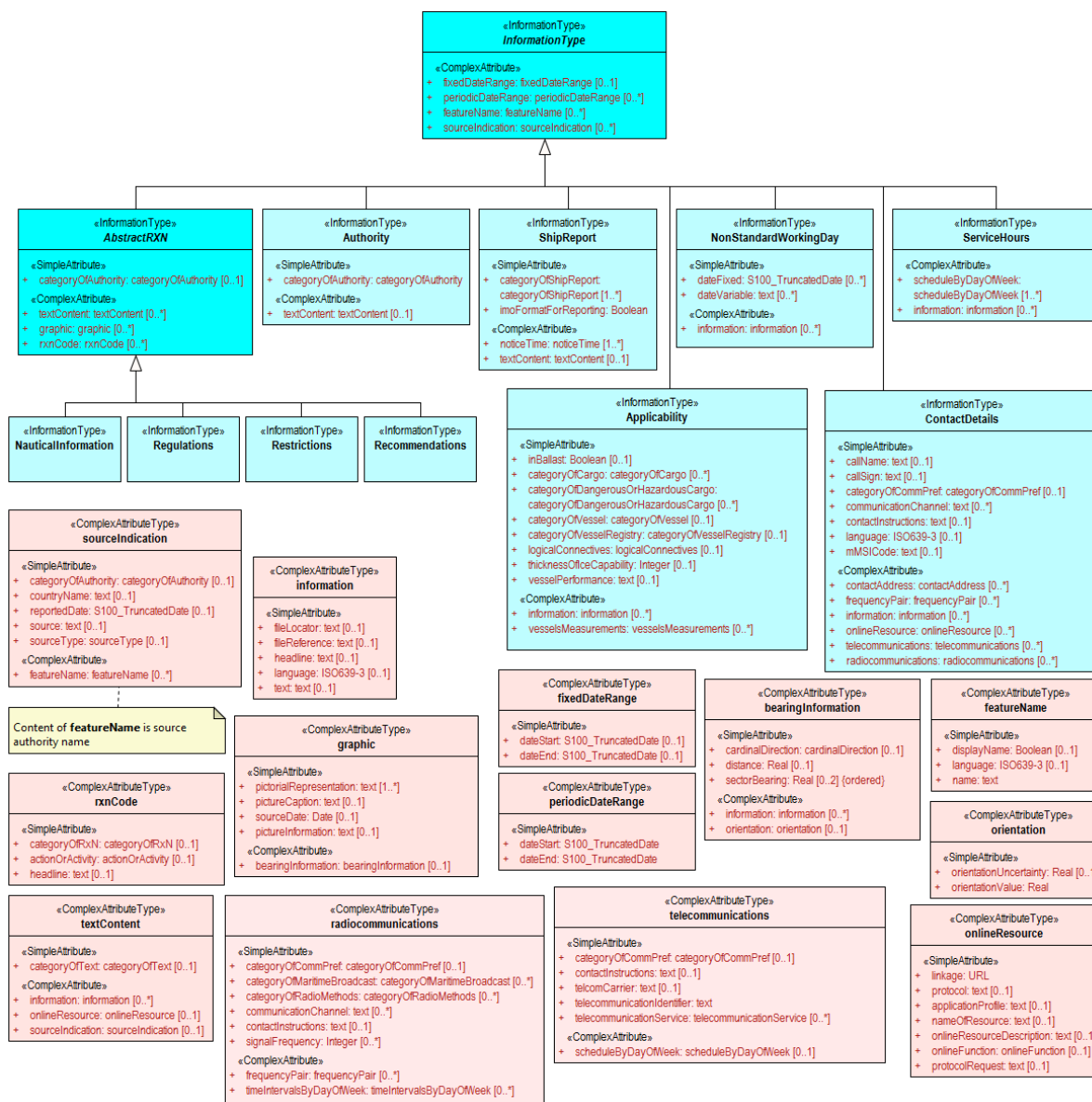


Figure 6. Overview of S-127 Information Types

The abstract class **InformationType** is an abstract class from which the information type classes in the S-127 domain model are derived. **InformationType** has attributes for fixed and periodic date ranges, name associated with the individual information object if any, source information, and a **textContent** attribute that allows text notes or references to be provided for individual instances where appropriate. The attributes defined in **InformationType** are inherited by all S-127 information type classes. All the attributes of **InformationType** are optional. A derived class may impose additional constraints, which will be described in the definition of the derived class or in the S-127 DCEG.

#### 6.2.1.2 Relationships between features and information types

The hierarchy of geographic features is designed around the features' associations to information types as well as inheritance of attributes. There is a 4-level hierarchy of abstract feature classes. Each level in the abstract feature class hierarchy is associated with one or more information type classes. Subclasses inherit the associations of their super-classes. The result is that feature classes can have the associations of their direct parent abstract super-class as well as associations inherited by the direct parent. For example, **PilotBoardingPlace** can be associated to a **ContactDetails** object (with a **SrvContact** association) as well as with a **Regulations** object (with an **AssociatedRxN** association, inherited via the generalization relationship between **OrganisationContactArea** and **FeatureType**).

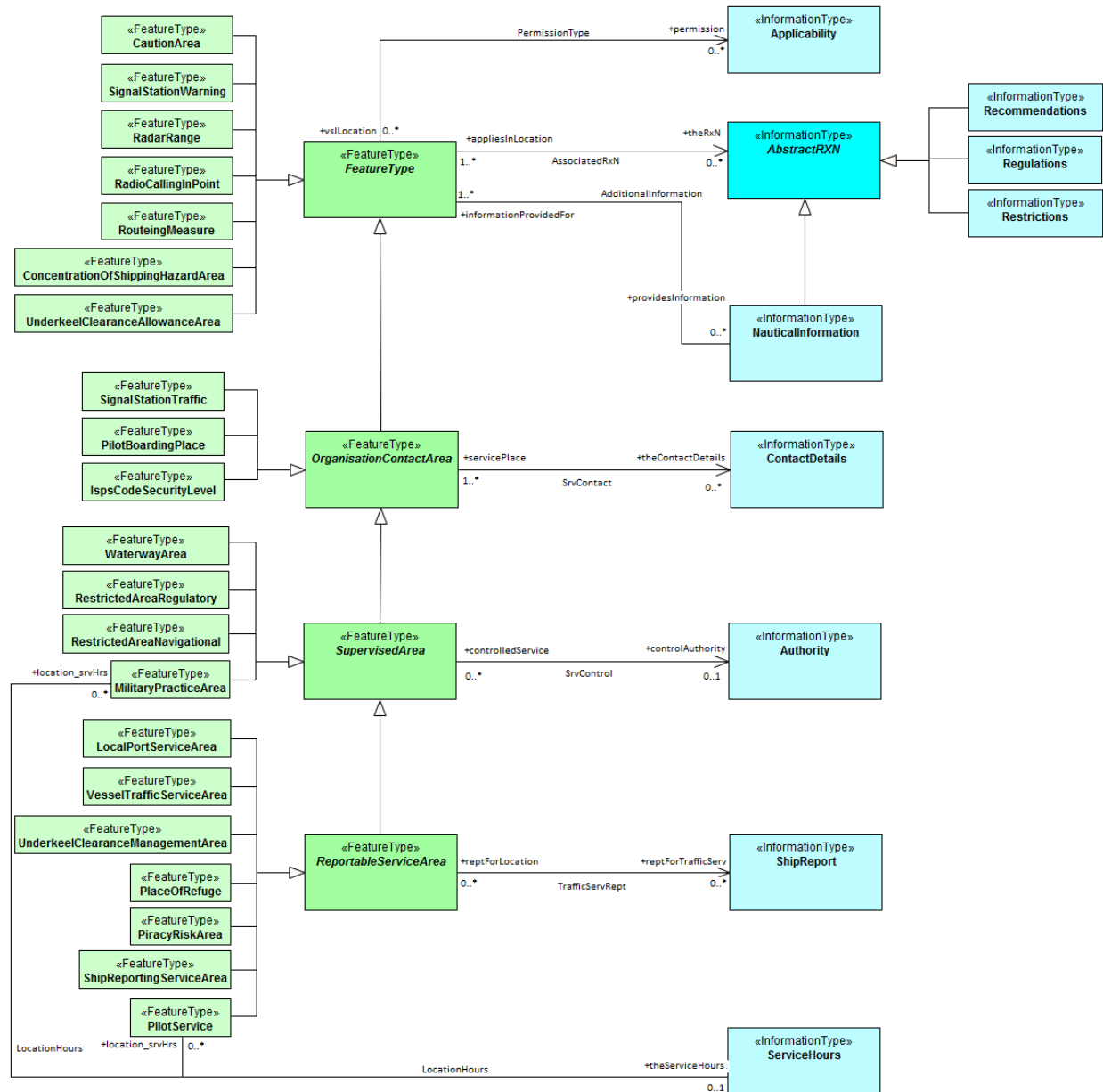


Figure 7. Allowed direct relationships between feature and information types

The four levels in the abstract hierarchy of feature classes depicted in Figure 7 correspond to:

- 1) S-127 features in general. Any S-127 feature can have a note, regulation, etc., associated with it (associations to **NauticalInformation**, **Regulations**, **Restrictions**, and **Recommendations**), or be designated relevant to only a selected subset of vessels (association to class **Applicability**).
- 2) Features associated with a source that may or must be contacted. The abstract feature superclass for these features is **OrganisationContactArea**, which adds an association to the information type **ContactDetails**.
- 3) Features that may be supervised or controlled in some sense by an organization. The abstract feature superclass for these features is **SupervisedArea**, which adds an association to the information type **Authority**. It inherits the associations of

**OrganizationContactArea** and **FeatureType**, so instances of these feature classes can have associations to **ContactDetails**, **NauticalInformation**, **Regulations**, **Restrictions**, and **Recommendations**, or be designated relevant to only a selected subset of vessels (association to class **Applicability**).

- 4) Features that also involve some kind of reporting (in the broad sense). The abstract feature superclass for these features is **ReportableServiceArea**, which adds an association to the information type **ShipReport**. These features also inherit the allowed associations of **FeatureType**, **OrganizationContactArea**, and **SupervisedArea**.

In addition to the associations allowed through inheritance, features can have direct relationships to other information types. The S-127 application schema contains two such associations, between **MilitaryPracticeArea** and **PilotService** on the feature side and **ServiceHours** on the other.

Note that Figure 7 shows only feature/information associations. Feature associations and information/information associations are allowed as defined elsewhere in the application schema – for example, the operating hours of a **PilotService** on holidays will be indicated by a **NonStandardWorkingDay** instance associated with the **ServiceHours** instance which is in turn associated with the relevant **PilotService** instance.

#### 6.2.1.3 Regulations, information notes, etc.

There are three main information types which represent regulations, restrictions, and recommendations, respectively, and a fourth information type for general or unclassifiable information.

- The **Regulations** class represents information derived from laws, national shipping regulations, navigation rules, etc.
- Class **Restrictions** is intended for restrictions that are not derived from regulatory sources.
- Class **Recommendations** is intended for information that is recommendatory in nature; in S-127 this may be recommendations for maintenance of listening watches, AMVER reporting, etc., that are either voluntary or have not been issued as formal regulations.
- The fourth class, **NauticalInformation**, is intended for general notes or other information that cannot be categorized as one of the other three classes.

These information types all inherit the attributes of their immediate abstract superclass **AbstractRxN**, which provides attributes **textContent** and **graphic** for textual and pictorial material respectively. The sub-attributes of its complex attribute **rxnCode** allow optional classification of the material encoded in **textContent/graphic** according to the type of material and the kind of nautical activity affected by it. They also inherit the attributes of abstract superclass **InformationType**, which allows encoding of the effective and expiry dates, if any, and the source of information, if it is necessary to encode that data.

These classes are intended primarily for encoding text information, such as that which derives from textual source material such as national or local laws or official publications. Where specific attributes such as the simple attribute **restriction** are permitted, they must be used. For example, if a geographic feature class has the **restriction** attribute, it should be used instead (explanations, details, paragraphs from regulations, etc., can be encoded in an associated **Regulations**, **NauticalInformation**, etc., object).



The use of these information types to associate regulatory and other information to individual features is described elsewhere in clause 6.2.1. Figure 8 depicts the **Regulations**, **Restrictions**, **Recommendations**, and **NauticalInformation** classes, their class hierarchy, and the attributes of their generalizations **AbstractRxN** and **InformationType** (which are inherited by the classes).

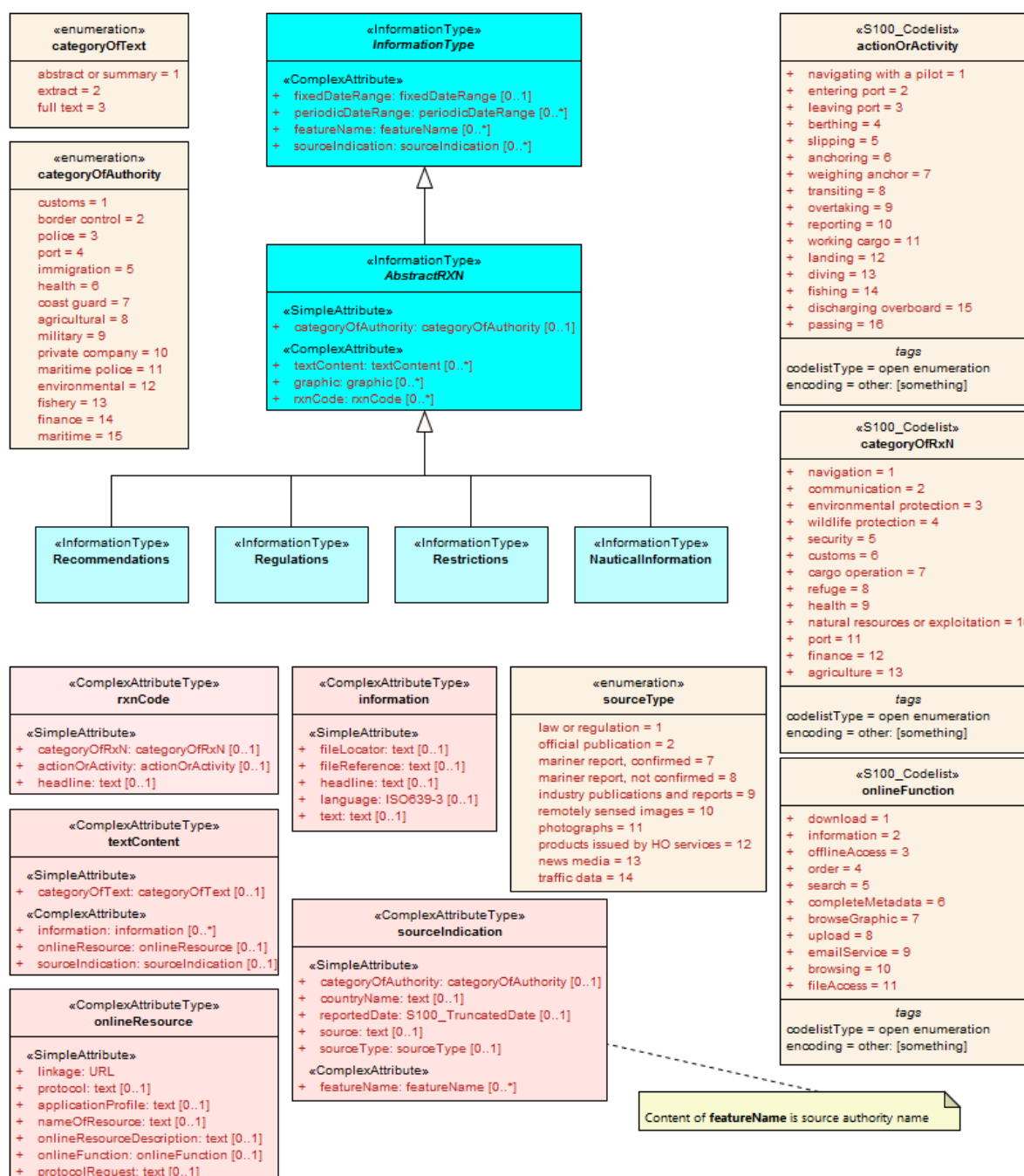


Figure 8. Structure of regulations and note information types

#### 6.2.1.4 Contact information

The detailed model of contact information is shown in Figure 9 below.

The **ContactDetails** class uses a condensed form of the complex attribute **radiocommunications** compared to S-123 (Marine Radio Services). When used as an attribute of **ContactDetails**, the sub-attributes of **radiocommunications** are restricted to those shown in Figure 9. The complex attribute **telecommunications** is analogous to **radiocommunications**, but describes telephone (and email, telegraph, etc.) contact data.

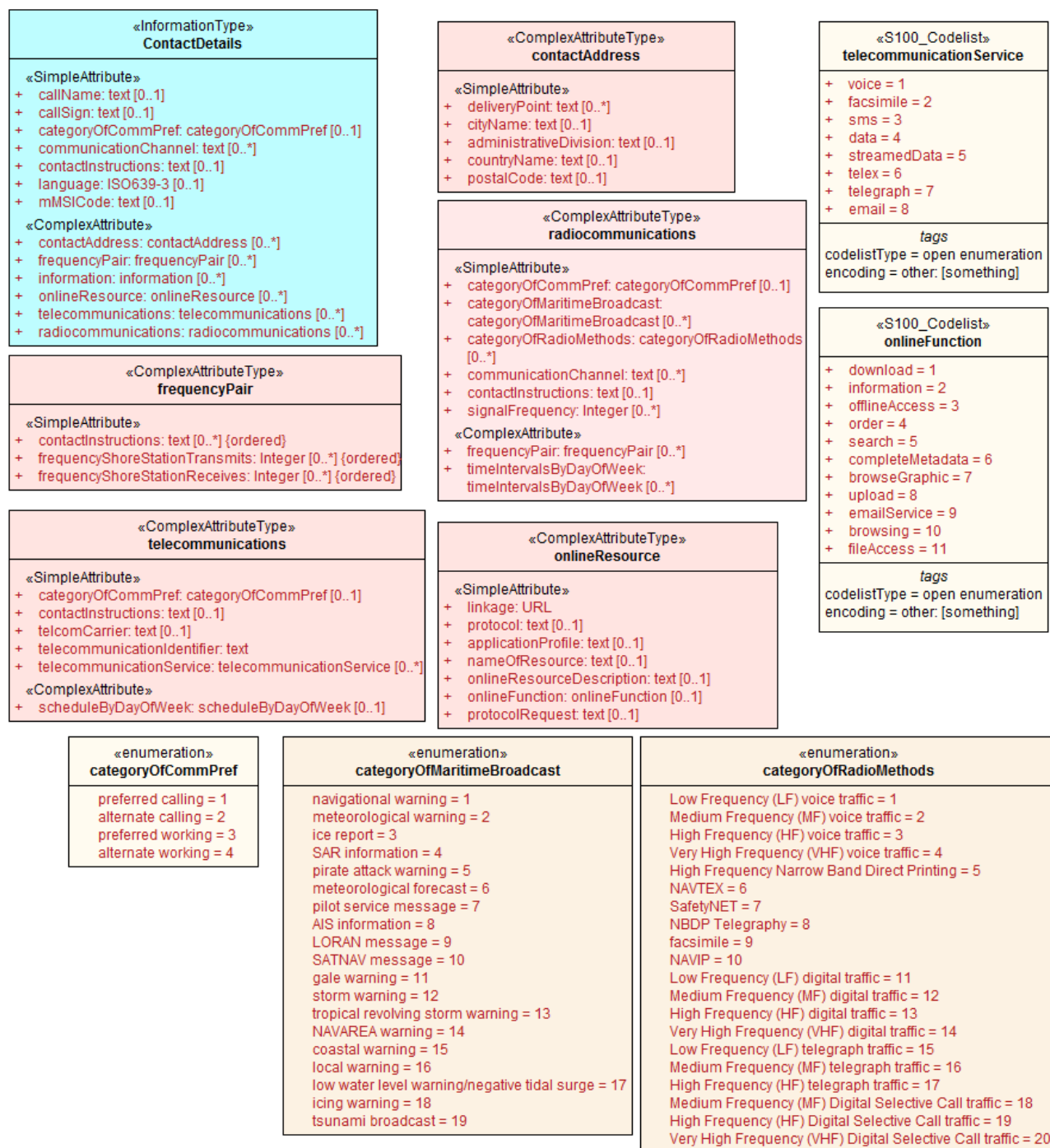


Figure 9. Contact information - detail

#### 6.2.1.5 Supervising organizations, schedules and business hours

The **SupervisedArea** class models areas which may have an associated organization (government or private) that exercises some kind of control or supervision over the area. Operating schedules and business hours of organizations are modeled by associating the **ServiceHours** class to an **Authority**. The **ServiceHours** class is a container for the complex attribute describing daily schedules for different weekdays (**scheduleByDayOfWeek**). This complex attribute contains another complex attribute for time intervals and the days to which they apply, and category sub-attribute to model whether the schedule describes opening hours, closures, etc. Exceptions to the schedule such as fixed or movable holidays are modeled by a **NonStandardWorkingDay** class with attributes allowing indication of the dates or days which are holidays or exceptions.

Working times and schedules for service features are modeled by an analogous association from the feature object (association **LocationHours**). When a **ServiceHours** is thus linked to a service feature, the service hour information applies to the feature as a whole (e.g., all services described in a **PilotService**).

Working times for **MilitaryPracticeArea** features are to be interpreted as the hours of military activity. Practice times of 24 hours/day are explicitly encoded (from 00:00:00 to 24:00:00 hrs., in accordance with ISO 8601 conventions for midnight at the beginning and end of a day). The dates of activity are indicated by attributes **fixedDateRange** or **periodicDateRange** as appropriate. Special cases such as unknown practice times can be explained in the **textContent** or **information** attribute of **MilitaryPracticeArea** or **ServiceHours**.

The model for both kinds of schedules is shown in Figure 10.

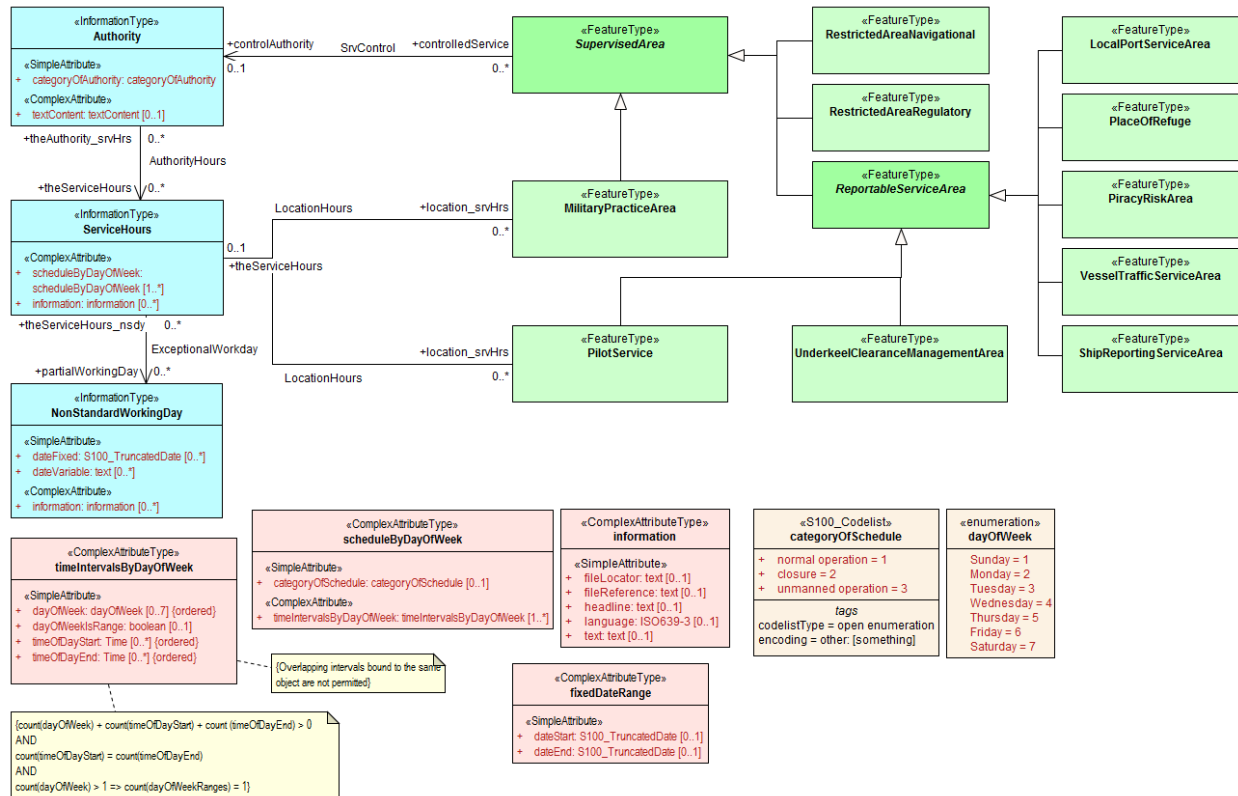


Figure 10. Working times and schedules

All the service features in S-127 can be associated to a supervising organization using the **SrvControl** association. The authority should be encoded only if its presence in the dataset conveys information that is useful to the end user.

Since **Authority** also has an information association to **ContactDetails** (Figure 11), it is in principle possible to link a **VesselTrafficServiceArea** (for example) to both an **Authority** and **ContactDetails** as well as linking the **VesselTrafficServiceArea** to the same **ContactDetails**. Such linking is permissible but will generally be redundant and should, if possible, be avoided as unnecessary duplication. It may be done in situations where contact details for an operating authority are different from contact details for the service it operates.

Figure 10 also shows associations between service features and **Authority**. **Authority-ContactDetails** associations are omitted to reduce clutter.

#### 6.2.1.6 Reports to be submitted by vessels

Some marine traffic management areas require reports (or communications not meeting the strict definition of “reporting”) to be filed with authorities when certain events occur such entering or leaving the area. These requirements are modelled by association of a **ShipReport** class to the Authority class. The area in question is modelled by a feature of the requisite type, e.g., a **VesselTrafficServiceArea**. Any time requirements or constraints on the filing of the report are described by the **noticeTime** attribute, with explanations, if any provided in text form in the **textContent** attribute of **ShipReport**. Required reporting formats, if necessary to be included, are also described in the **textContent** attribute. If reporting requirements depend on vessel characteristics such as type of cargo, etc., that is encoded using an associated **Applicability** instance. Figure 11 shows the model elements that are used to carry these conditions.

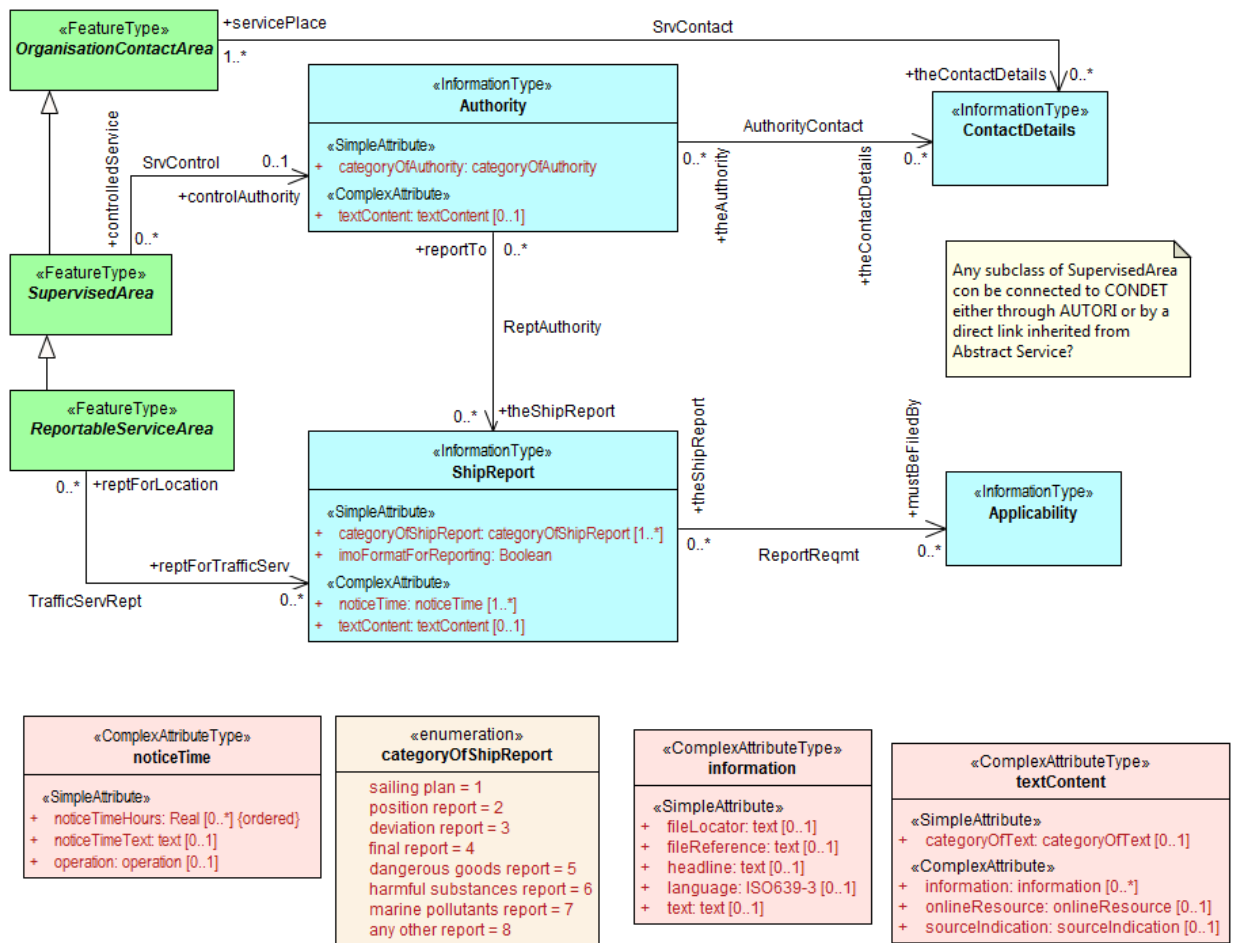


Figure 11. Reporting

### 6.2.1.7 Regulations applying in specific geographic features

The **AssociatedRxN** association between a feature type and a **Regulations, Restrictions, Recommendations**, or **NauticalInformation** object (see Figure 12) indicates that the **Regulation**, etc., is applicable within the associated feature. If it is necessary to identify an authority or organization related to a particular regulation (restriction, etc.) object, this may be done using the **RelatedOrganisation** association between **Regulations**, etc., and an **Authority** object. This should be included only when the connection to the **Authority** conveys useful information to the end user – it is not intended to encode the issuing or controlling authority for every regulation. Note also that while **Authority** can be associated to geographic features as well as **Regulations**, etc., encoding both associations is not mandatory even when the same **Authority** is associated to a service area as well as a **Regulations** object (or **NauticalInformation**, etc.).

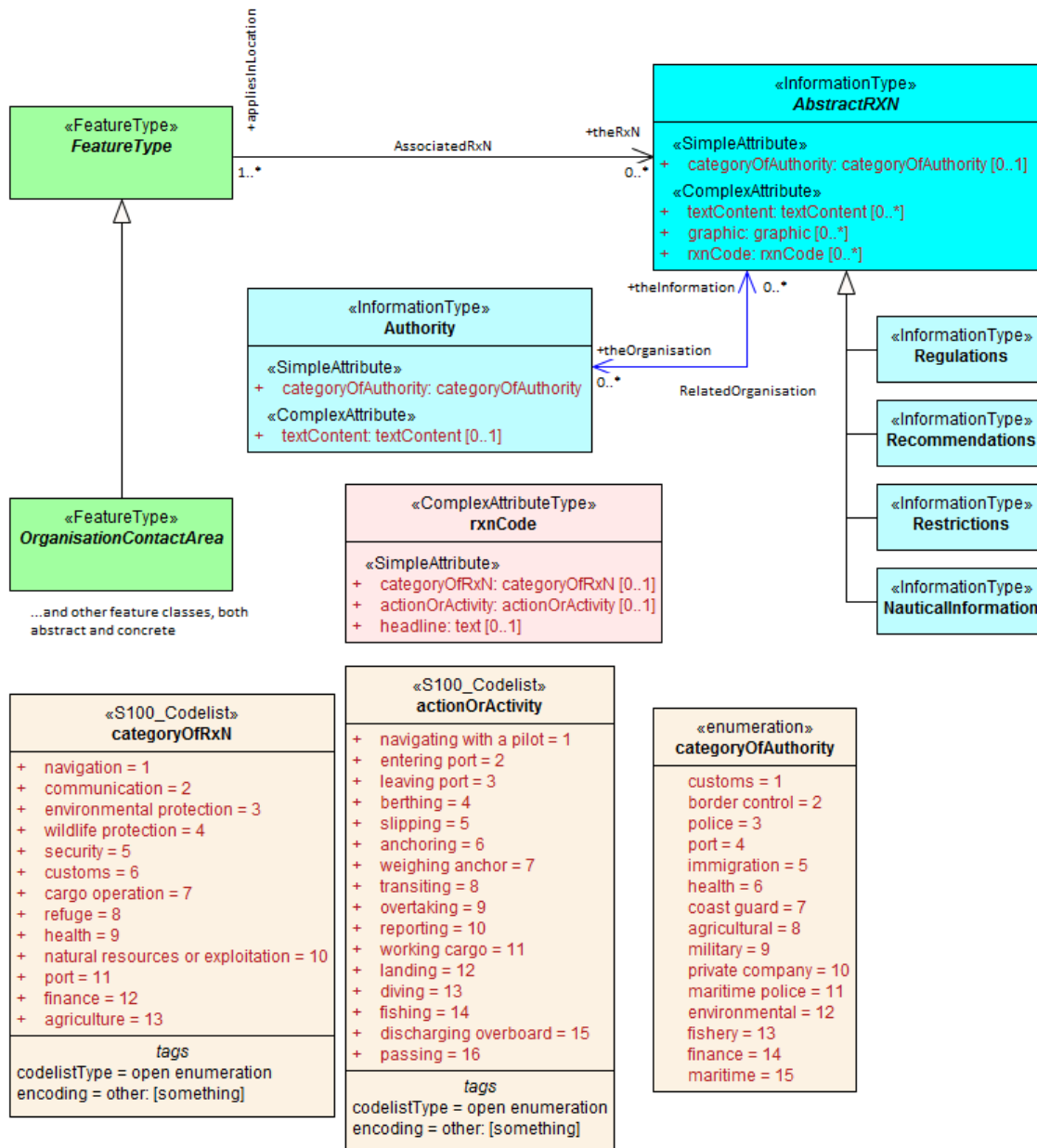


Figure 12. Regulations, etc., relevant to specific features

#### 6.2.1.8 Regulations applying only to vessels with specific characteristics or cargoes

Certain regulations apply only to vessels of specified dimensions, types, or carrying specified cargo, etc.

This is modelled by first defining the relevant subset of vessels according to the dimension, type, cargo, etc., and then associating that subset to the appropriate feature or information type. The subset of vessels is modelled using the **Applicability** class, which contains attributes for the most common vessel characteristics used in nautical publications. These include measurements (length, beam, draught), type of cargo, displacement, etc. Constraints which cannot be modelled using the attributes of **Applicability** can be described in plain text in its **information** attribute.

Conditions relating to vessel dimensions are modelled by the complex attribute **vesselsMeasurements**, which has sub-attributes for naming the dimension and indicating the limit (whether the condition applies to a vessel which exceeds or falls below the limit). For example, the combinations below describe the condition “length overall > 50 m” (Condition 1) and “length overall < 90 m” (Condition 2):

	Condition 1	Condition 2	Condition 3
<b>vesselsCharacteristics</b>	length overall	length overall	breadth
<b>vesselsCharacteristicsUnit</b>	metre	metre	metre
<b>comparisonOperator</b>	greater than	less than	greater than
<b>vesselsCharacteristicsValue</b>	50	90	20

Table 6.1 - Conditions relating to vessel dimensions

The **logicalConnectives** attribute is used to indicate how to interpret the case where multiple conditions are encoded using attributes of measurements - whether the conditions described by condition attributes are cumulative (conjunctive, AND) or alternatives (disjunctive, OR). A *logicalConnectives=AND* combined with Conditions 1 and 2 above describes a vessel of length between 50 and 90 metres; *logicalConnectives=OR* combined with conditions 1 and 3 describes a vessel of length greater than 50 metres or beam greater than 20 metres.

This modelling cannot represent subsets defined by both AND and OR combinations of conditions, but it is always possible to convert such complex conditions into multiple combinations each using only AND (‘conjunctive normal form’) or OR (‘disjunctive normal form’), and model the subset using more than one **Applicability** object.

Figure 13 depicts the classes and attributes that can be used to define subsets of vessels according to specified characteristics.



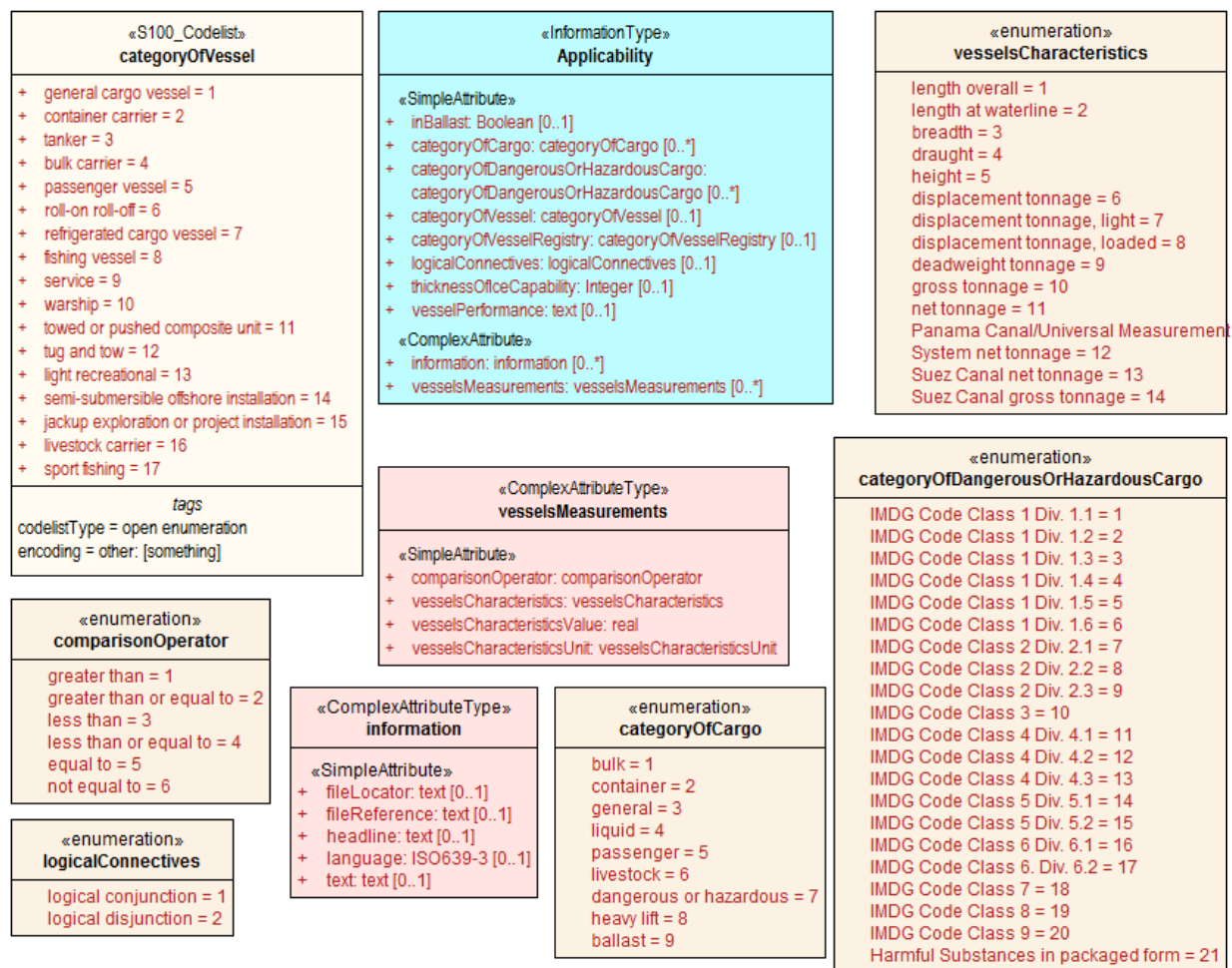


Figure 13. Vessel subsets characterized by cargo and dimensions

Given the relevant subset of vessels, it can be associated to the appropriate feature, regulation, or report by a **PermissionType**, or **InclusionType** association. These are association classes, whose single attribute models the nature of the relationship between the vessel subset and feature or information type. Figure 14 depicts the use of vessel subsets in **PermissionType** or **InclusionType** associations.

The association classes **PermissionType** and **InclusionType** basically characterize the relationship. For example:

- A specified set of vessels is COVERED by a regulation and another set of vessels is EXEMPT from the regulation.
- Vessels with specified cargo & dimensions MUST use a specified pilot boarding place, vessels of smaller dimensions are RECOMMENDED to use the boarding place, and warships are EXEMPT from using the pilot boarding place.

“COVERED” and “EXEMPT” are different kinds of relationship between different subsets of vessels characterized by different dimensional limits, etc., and a given regulation.



“MUST use”, “RECOMMENDED to use”, and “EXEMPT from use” are relationships between different subsets of vessels characterized by different dimensional limits, etc., and a given feature or service.

Figure 14. Applicability of reporting requirements, rules, etc. to vessel categories

Informally:

And:-

#### 6.2.1.9 Routing measures

geometry should be encoded in preference to line geometry, if possible). The geometry is expected to be the spatial combination of relevant geometries from the ENC. The original features need not be the same class, e.g., an S-127 **RouteingMeasure** feature may combine Inshore Traffic Zone and TSS Lane Part geometries from S-101 data.

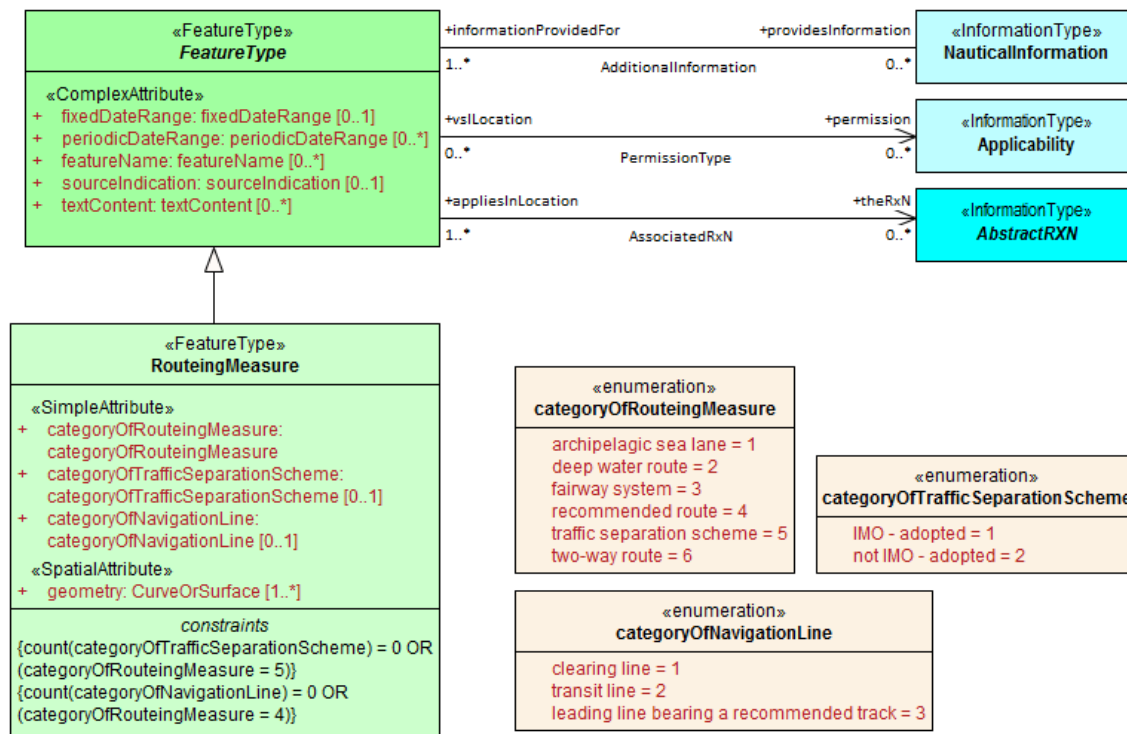


Figure 15. Routeing measures

Additional attributes can be encoded in a **RouteingMeasure** depending on the type of measure. For features that derive from a range system, leading lines, or transit lines (i.e., *categoryOfRouteingMeasure* = 4 (recommended route)), the **categoryOfNavigationLine** attribute may also be encoded to describe the type of routeing measure. For features that derive from a traffic separation scheme, (i.e., *categoryOfRouteingMeasure* = 5 (traffic separation scheme)), the **categoryOfTrafficSeparationScheme** attribute may also be encoded to describe whether is IMO-adopted or not.

Regulations, etc., can be associated with the feature and requirements relating to use by specific classes of vessels indicated by an associated **Applicability** instance. If different parts of the routeing measure have different information associated with them, the geometry can be divided into parts as needed.

#### 6.2.1.10 Vessel traffic service areas and related features

Figure 16 depicts the S-127 features relevant to VTS areas and features associated to VTS. Associations to information types are also shown.

It is not necessary to associate non-VTS features in this part of the model to a VTS. They may be included independently of VTS areas if they are relevant to traffic management on their own.

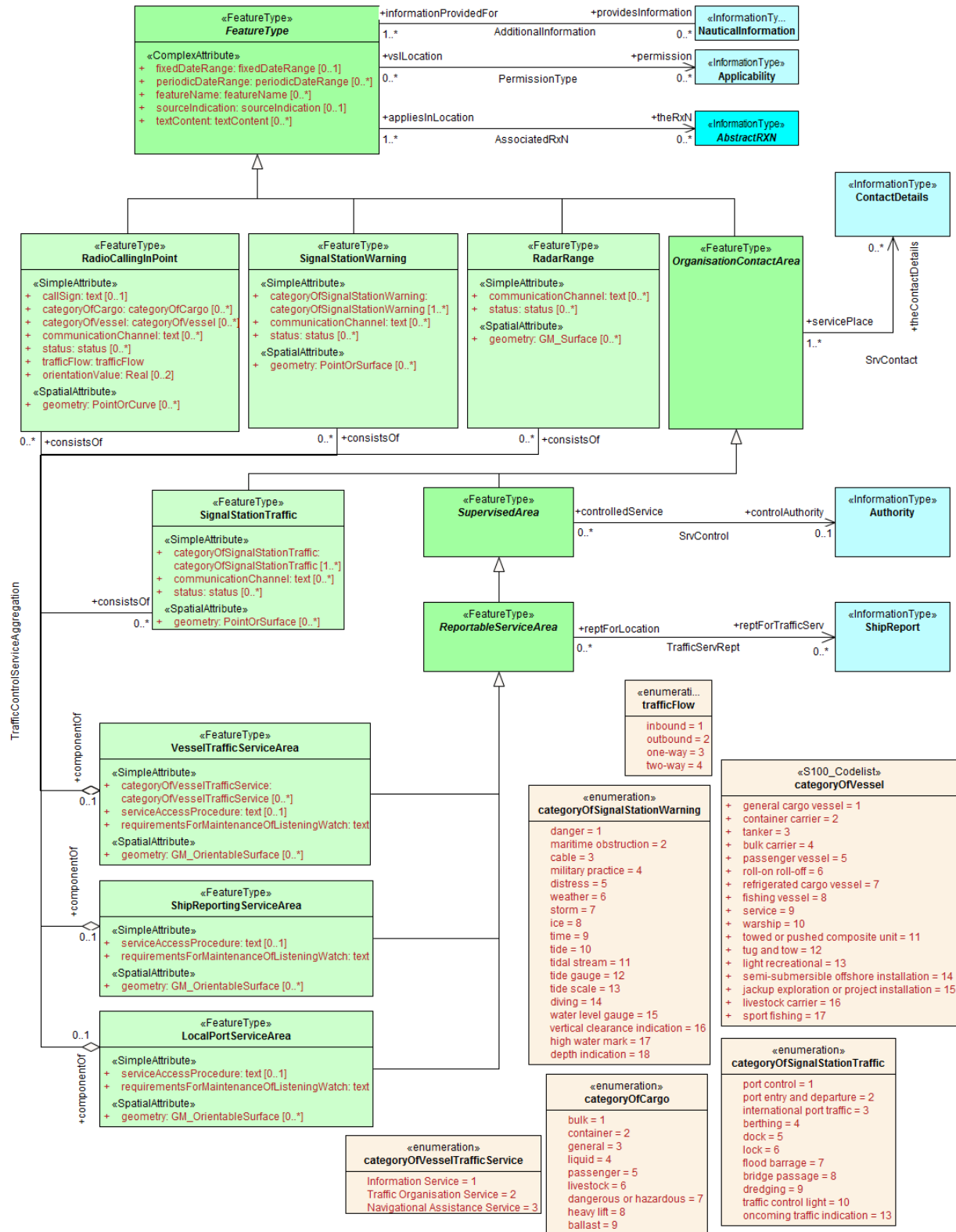


Figure 16. Vessel traffic service areas and associated classes

### 6.2.1.11 Pilotage

Pilot boarding places, districts, and services are depicted in Figure 17 below, along with associated information types.

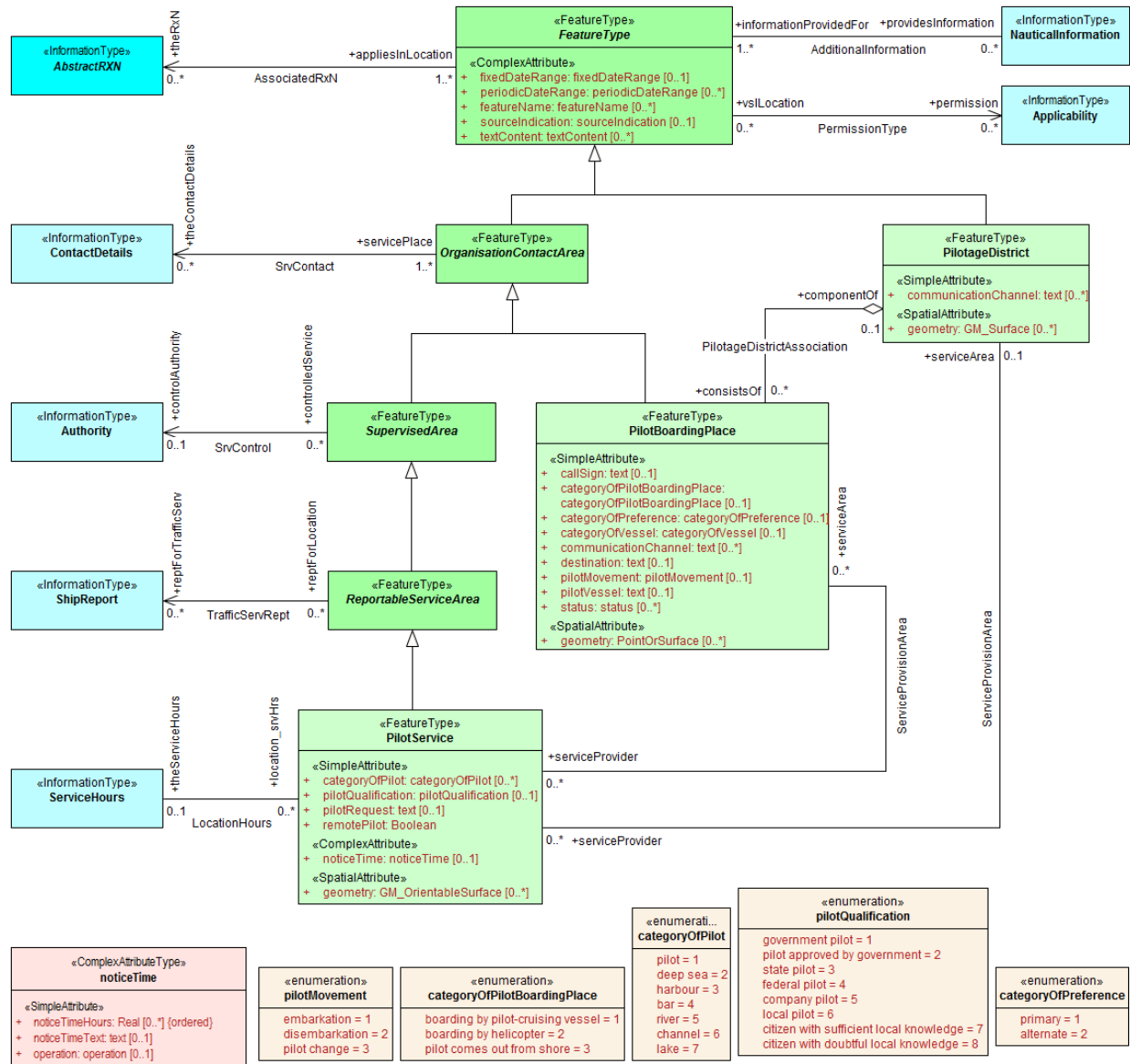


Figure 17. Pilotage features and associated classes

### 6.2.1.12 Water levels and underkeel clearances

In some locations, up-to-date information may be available, or required to be obtained, from an off-vessel source, or required to be computed in near-real-time using software. This is indicated by the **dynamicResource** attribute. Constraints related to this attribute are:

Value	Definition	Requirement
-------	------------	-------------

static	The information is static, or a source of up-to-date information is unavailable or unknown.	None – vessels can use the water level or clearance information encoded in the feature.
mandatory external dynamic	An external source of up-to-date information is available and interaction with it to obtain up-to-date information is required.	The external source must be encoded in an associated ContactDetails. Vessels are required to access this external source for up-to-date information.
optional external dynamic	An external source of up-to-date information is available but interaction with it to obtain up-to-date information is not required.	The external source must be encoded in an associated ContactDetails. Vessels are not required to access this external source.
onboard dynamic	Up-to-date information may be computed using only onboard resources.	No external source is encoded. Vessels are required to compute water level or clearance information using onboard software. The controlling authority may specify the allowed software.

Table 6.2 . Types of dynamic resources

In general, dynamic resources are realizations of a common metaclass as depicted in Figure 18.

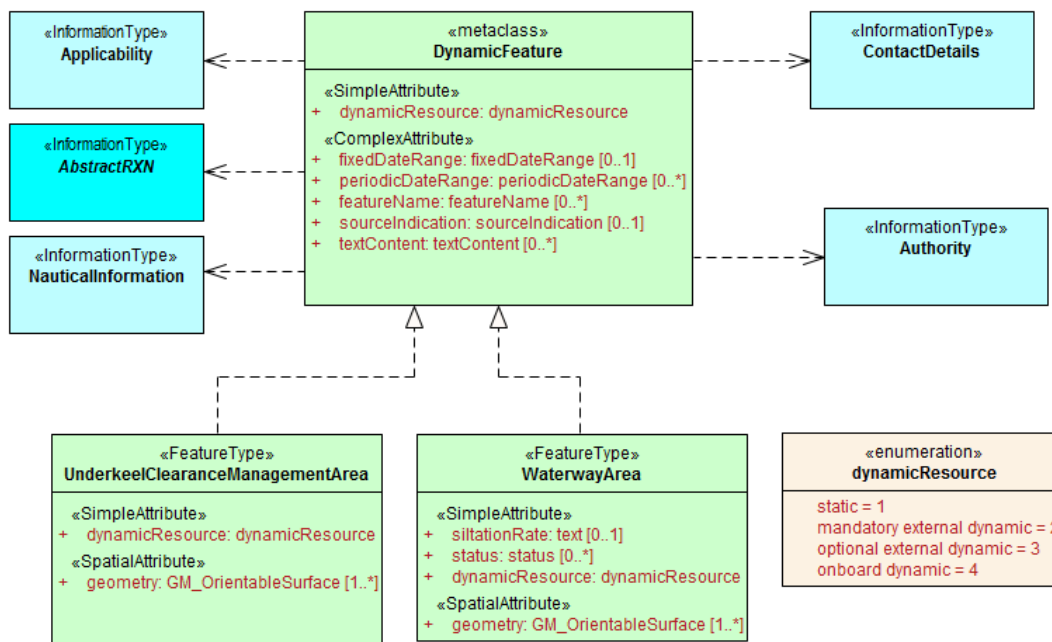


Figure 18. Feature classes modeling dynamic resources

This metaclass binds the **dynamicResource** attribute described in Table 6.2 and allows an association to **ContactDetails** for pointers to the location of external resources where the information can be obtained. It also allows an optional association to **Authority** objects, for coding the responsible authority. Further, since it is a feature type, it has the same attributes and associations as generic feature classes, i.e., attributes **fixedDateRange**, etc., and associations to the information types **NauticalInformation**, etc.

The metaclass represents the structural characteristics of all features which represent dynamic information. Individual feature classes representing dynamic resources should have the attributes and associations of the metaclass, and may, in addition, bind attributes and have associations specific to the particular concepts represented by the realization. For example, **WaterwayArea** adds the attributes **siltationRate** and **status** to those of the metaclass.

The S-127 features related to underkeel clearance and dynamic water level information are depicted in Figure 19 below.

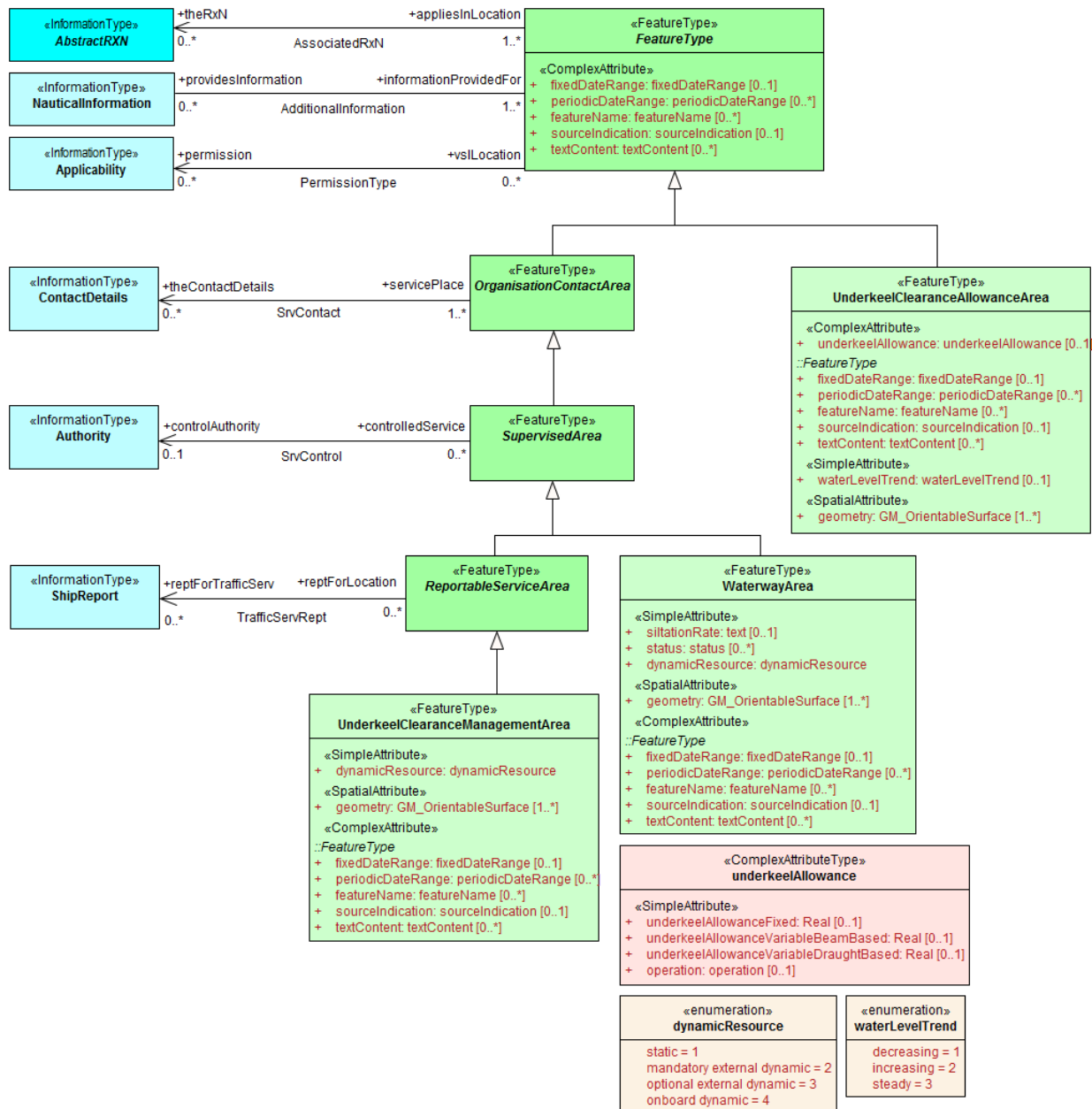


Figure 19. Underkeel clearance and water level features and associated classes

### 6.2.1.13 Other areas

This part of the model includes miscellaneous areas which are relevant to marine traffic management and is depicted in Figure 20.

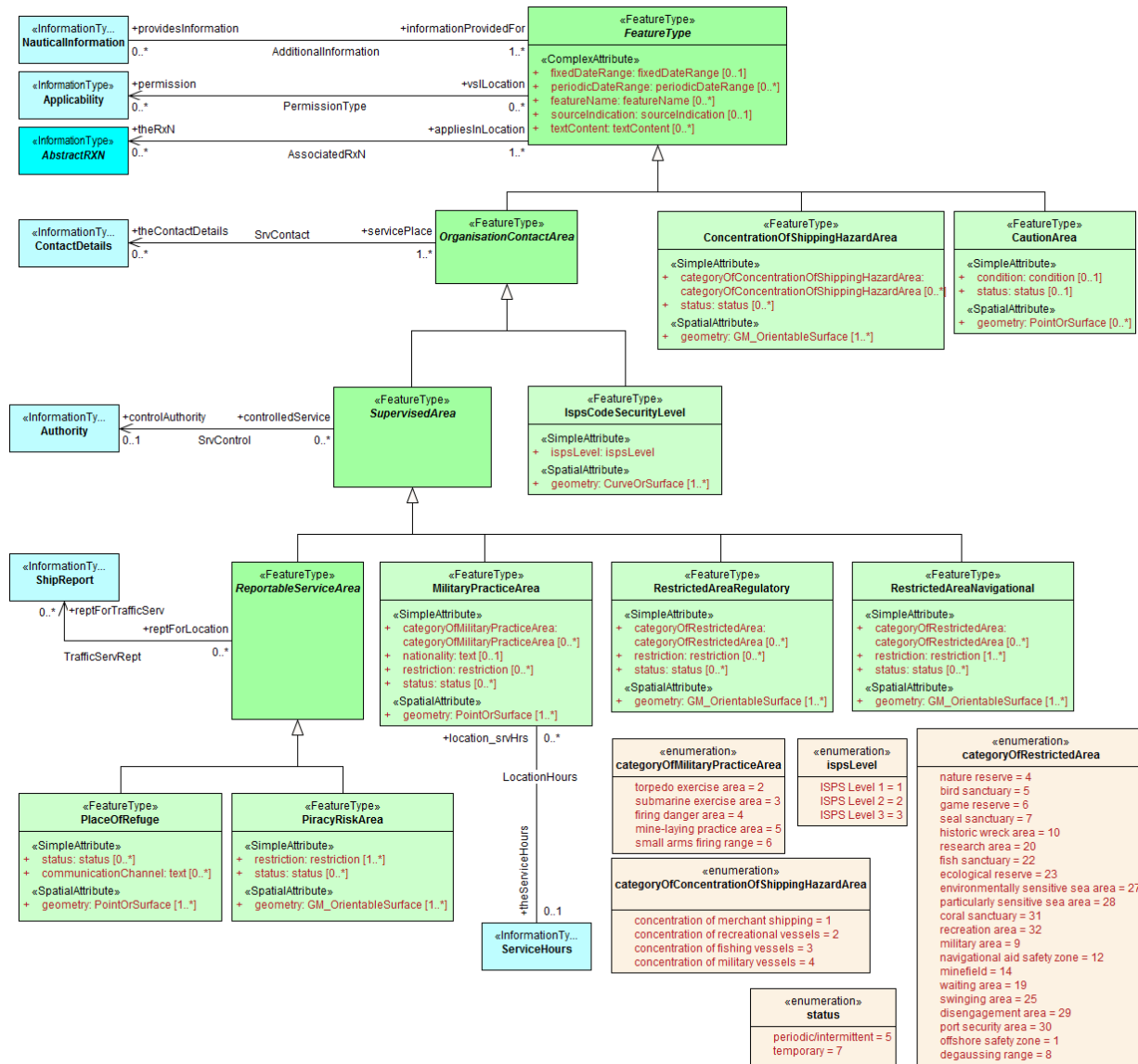


Figure 20. Cautionary and other specially designated areas

### 6.2.1.14 S-127 Enumerations and codelists

For completeness, the enumerations and codelists in the S-127 domain are provided in Figures 21–23. They are divided into multiple figures for convenience.



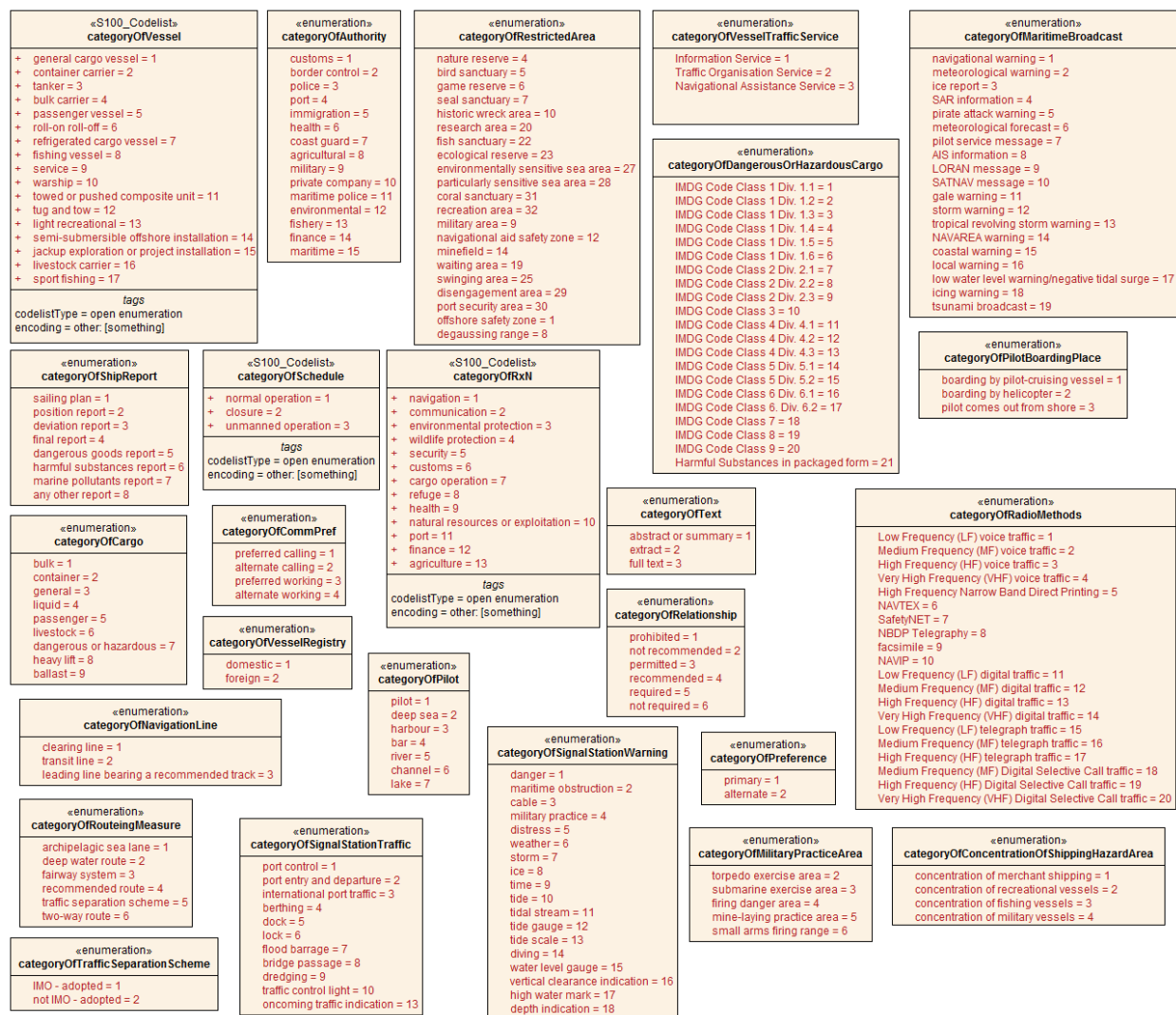


Figure 21. Category enumerations



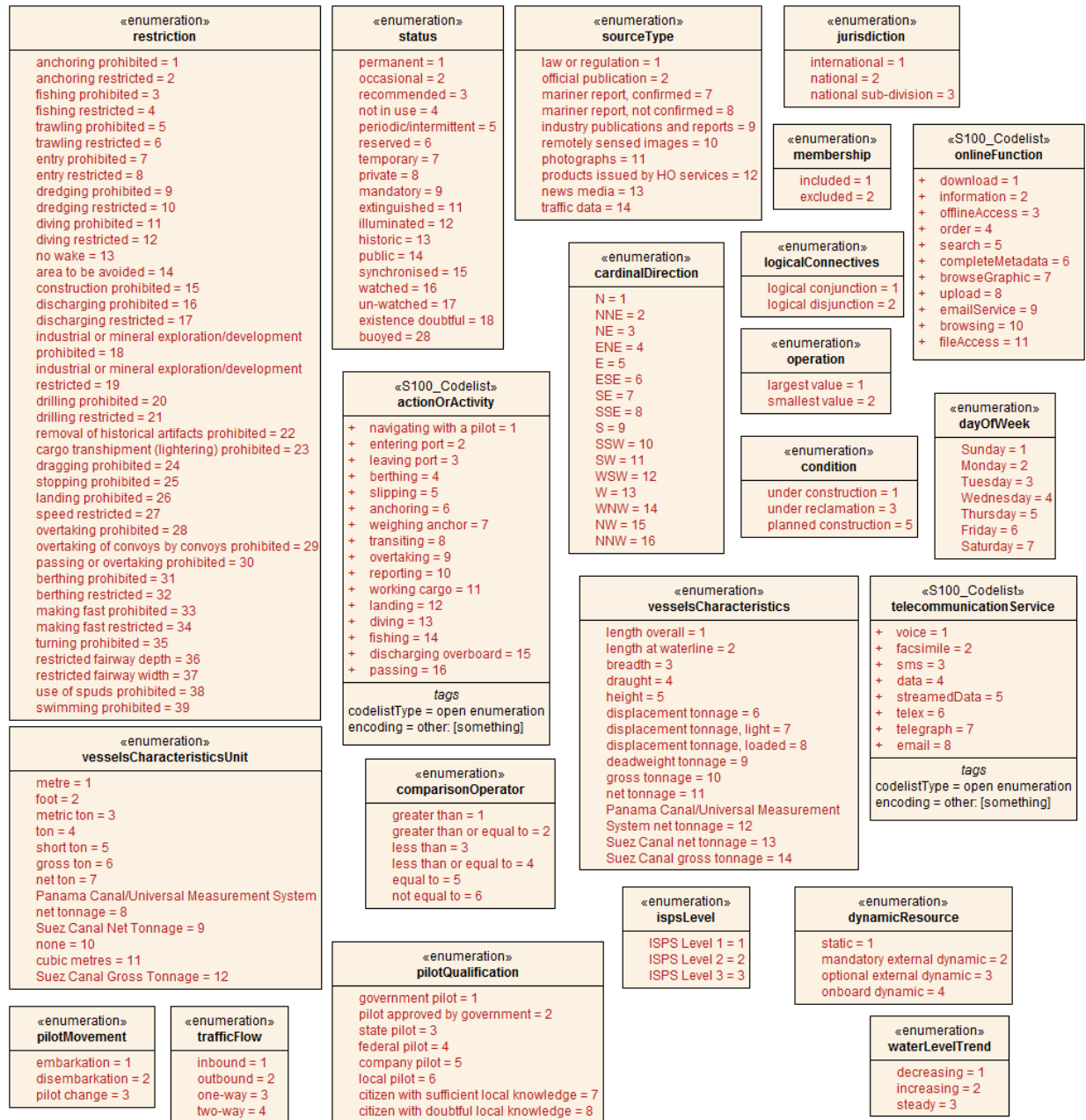


Figure 22. Other enumerations and codelists

<p>«enumeration» <b>categoryOfTemporalVariation</b></p> <p>Extreme Event = 1 Likely to Change = 4 Unlikely to Change = 5</p>	<p>«enumeration» <b>sourceType</b></p> <p>law or regulation = 1 official publication = 2 mariner report, confirmed = 7 mariner report, not confirmed = 8 industry publications and reports = 9 remotely sensed images = 10 photographs = 11 products issued by HO services = 12 news media = 13 traffic data = 14</p>	<p>«enumeration» <b>categoryOfAuthority</b></p> <p>customs = 1 border control = 2 police = 3 port = 4 immigration = 5 health = 6 coast guard = 7 agricultural = 8 military = 9 private company = 10 maritime police = 11 environmental = 12 fishery = 13 finance = 14 maritime = 15</p>
<p>«enumeration» <b>qualityOfHorizontalMeasurement</b></p> <p>surveyed = 1 unsurveyed = 2 inadequately surveyed = 3 approximate = 4 position doubtful = 5 unreliable = 6 reported (not surveyed) = 7 reported (not confirmed) = 8 estimated = 9 precisely known = 10 calculated = 11</p>		

Figure 23. Enumerations for meta-features

#### 6.2.1.15 Uncategorized additional information

The domain model also provides a method for attaching to any feature or information type data in the form of a text note, graphic, or Internet reference which cannot be categorized using an appropriate feature or information type. This consists of defining a **NauticalInformation** object and referencing it from the feature or information type using the **AdditionalInformation** association. This method is intended to be a last resort and every effort should be made to use a more specific feature or information type to encode the information to be attached, including splitting the information in question across more than one type of feature or information object as needed and/or using the **AssociatedRxN** association instead of **AdditionalInformation**, wherever the nature of the content allows it. See Figure 24.

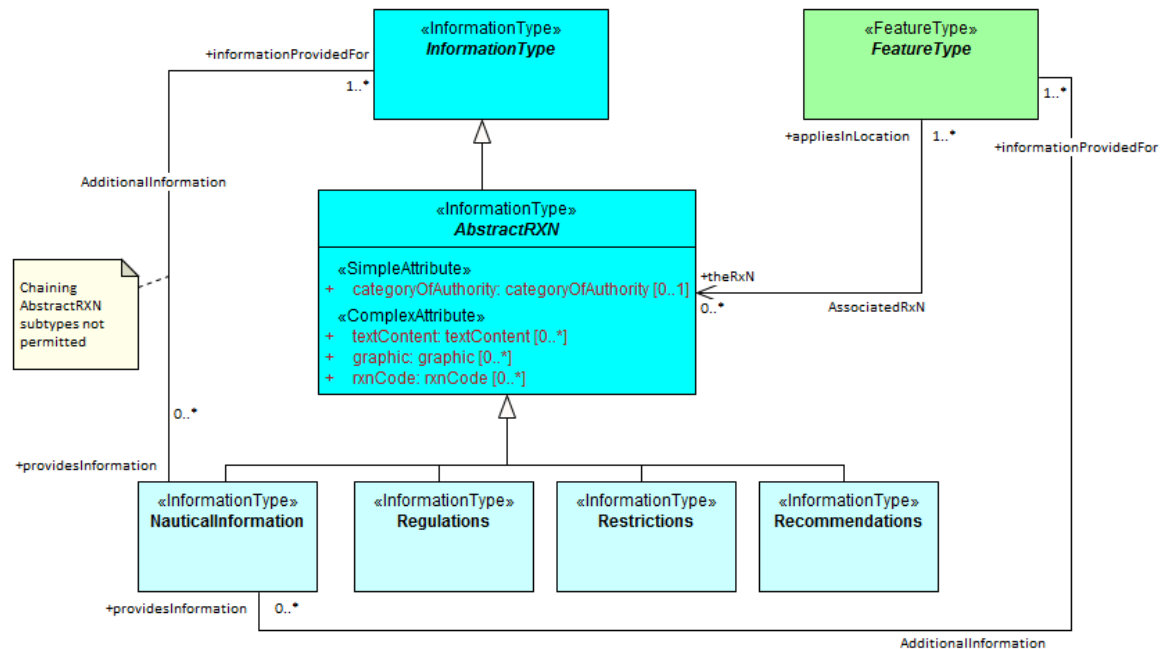


Figure 24. Attachment of uncategorizable information to any feature or information type

The **AdditionalInformation** association must not be used to chain **NauticalInformation**, **Regulations**, **Restrictions**, or **Recommendations** objects, whether they are of the same class or different classes.

## 6.2.2 Meta features

S-127 has two meta feature classes. The first one is **QualityOfNonbathymetricData** and is derived from **QualityOfTemporalVariation**, which in turn is derived from **DataQuality**. The second is **DataCoverage**. See Figure 25.

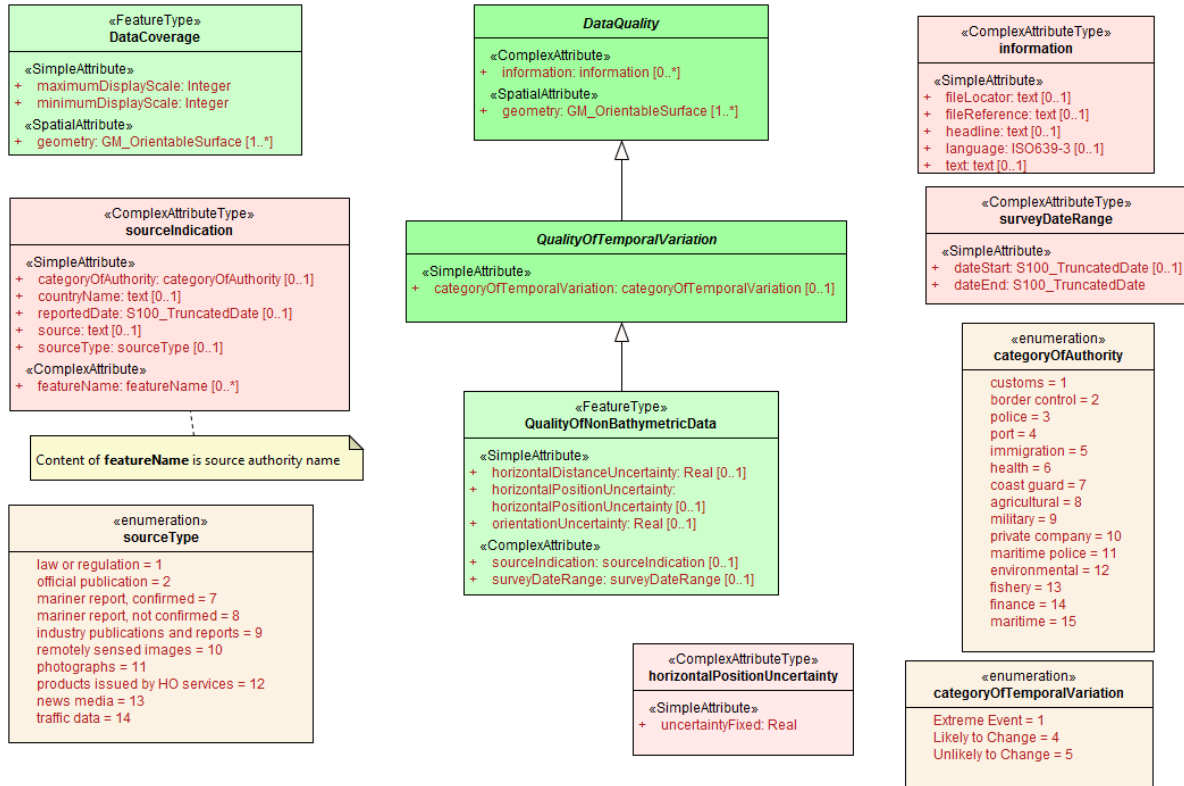


Figure 25. Overview of Meta feature classes and enumerations

### 6.2.3 Spatial quality information type

S-127 spatial quality is composed of two information types, namely **SpatialQuality** and **SpatialQualityPoint**, which is derived of the first. As the name indicates, the latter is for spatial points, while **SpatialQuality** is for curves. The attributes are for temporal quality and qualitative and quantitative horizontal quality. See Figure 26.

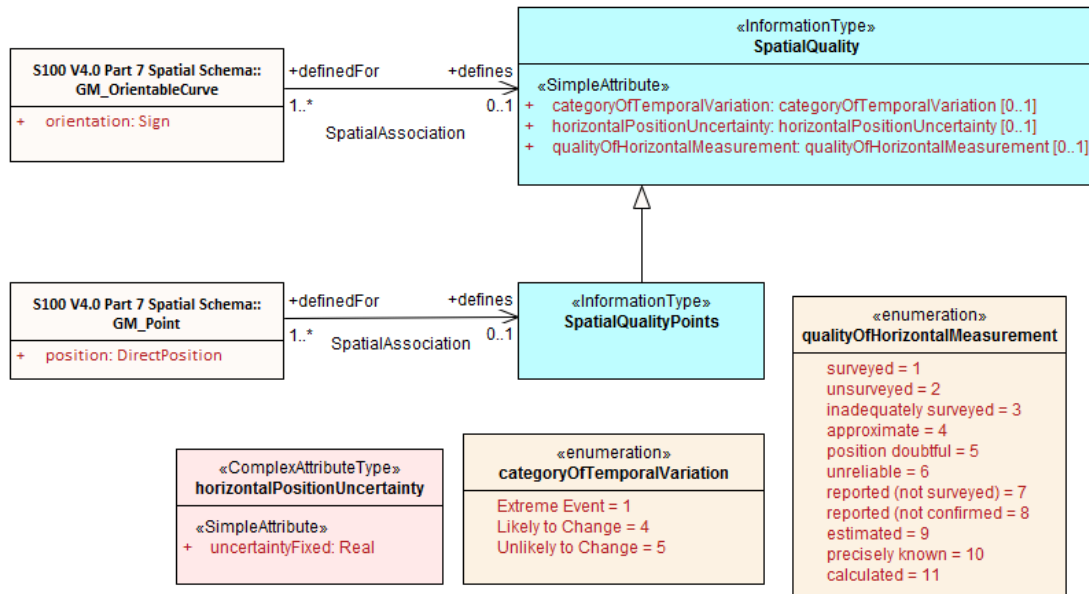


Figure 26. Spatial quality

## 6.2.4 Cartographic features

S-127 utilizes a cartographic feature called **TextPlacement** that is used in association with the **featureName** attribute to optimise text positioning, such as at smaller scales to prevent cluttering. This feature can be associated to any geographic feature and gives the location of a text string relative to the location of the feature. See Figure 27.

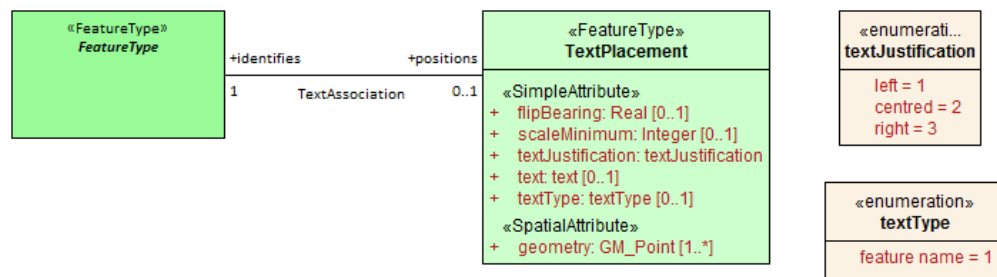


Figure 27. Text placement

## 7 Feature Catalogue

### 7.1.1 Introduction

The Feature Catalogue describes the feature types, information types, attributes, attribute values, associations and roles which may be used in the product. The S-127 Feature Catalogue is available in an XML document which conforms to the S-100 XML Feature Catalogue Schema and can be downloaded from the IHO website (<http://www.iho.int/>). Simple attributes used in this specification are listed in Table 7.1 below.

**Name:** Marine Traffic Management Feature Catalogue  
**Scope:** Ocean, Coastal, Ports, Harbors, and Inland waters  
**Version Number:** 1.0.0

**Version Date:** 2018-11-29  
**Producer:** International Hydrographic Organization Secretariat,  
4 quai Antoine 1er,  
B.P. 445  
MC 98011 MONACO CEDEX  
Telephone: +377 93 10 81 00  
Telefax: + 377 93 10 81 40  
URL <http://www.iho.int>  
**Language:** English

## **7.2 Feature Types**

Feature types contain descriptive attributes that characterize real-world entities. The word 'feature' may be used in one of two senses – feature type and feature instance. A feature type is a class and is defined in a Feature Catalogue. A feature instance is a single occurrence of the feature type and represented as an object in a dataset. A feature instance is located by a relationship to one or more spatial instances. A feature instance may exist without referencing a spatial instance.

### **7.2.1 Geographic**

A geographic (Geo) feature type carries the descriptive characteristics of a real-world entity.

### **7.2.2 Meta**

Meta features contain information about other features within a dataset. Information defined by meta features override the default metadata values defined by the dataset descriptive records. Meta attribution on individual features overrides attribution on meta features.

### **7.2.3 Cartographic**

Cartographic features contain information about the cartographic representation (including text) of real world entities.

### **7.2.4 Feature relationship**

A feature relationship links instances of one feature type with instances of the same or a different feature type.

### **7.2.5 Information Types**

Information types are identifiable pieces of information in a dataset that can be shared between other features. They have attributes but have no relationship to any geometry; information types may reference other information types.

#### **7.2.5.1 Spatial quality**

Spatial quality attributes are carried in two information class called Spatial Quality (for curves) and Spatial Quality Points (for points). Currently no use case for associating surfaces with spatial quality attributes has been identified, therefore this is prohibited.

## 7.2.6 Attributes

S-127 defines attributes as either simple or complex.

### 7.2.6.1 Simple attributes

The simple attribute value types used in S-127 are listed in Table 7.1:

Type	Definition
Enumeration	A fixed list of valid identifiers of named literal values
Boolean	A value representing binary logic. The value can be either True or False. The default state for Boolean type attributes (i.e. where the attribute is not populated for the feature) is False.
Real	A signed Real (floating point) number consisting of a mantissa and an exponent
Integer	A signed integer number. The representation of an integer is encapsulation and usage dependent.
CharacterString or Text <sup>1</sup>	An arbitrary-length sequence of characters including accents and special characters from a repertoire of one of the adopted character sets.
Date <sup>2</sup>	A date provides values for year, month and day according to the Gregorian Calendar. Character encoding of a date is a string which must follow the calendar date format (complete representation, basic format) for date specified by ISO 8601. EXAMPLE 19980918 (YYYY-MM-DD)
Time	A time is given by an hour, minute and second. Character encoding of a time is a string that follows the local time (complete representation, basic format) format defined in ISO 8601. EXAMPLE 183059 or 183059+0100 or 183059Z
Codelist	A type of flexible enumeration. A code list type is a list of literals which may be extended only in conformance with specified rules.
Truncated date	One or more components of the Date type are omitted.
URI <sup>2</sup>	A uniform resource identifier as defined in RFC 3986. Character encoding of a URI shall follow the syntax rules defined in RFC 3986. EXAMPLE http://registry.iho.int
URL	A uniform resource locator (URL) is a URI that provides a means of locating the resource by describing its primary access mechanism (RFC 3986). EXAMPLE http://registry.iho.int

Table 7.1 – Data types for simple attributes

## 7.3 Complex attributes

Complex attributes are aggregations of other attributes that are either simple or complex. The aggregation is defined by means of attribute bindings.

<sup>1</sup> Simple attributes in feature and information types use *Text*; metadata attributes use *CharacterString*.

<sup>2</sup> The *Date* and *URI* types are not used in S-127 1.0.0, but are described because they are referenced by other types.

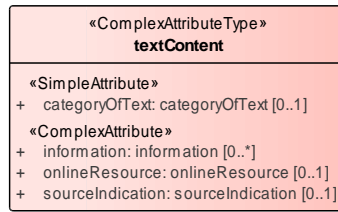


Figure 28. textContent - a complex attribute

## 7.4 Units of measure

The following units of measure is used in Marine Traffic Management datasets;

- Orientation is given in decimal degrees
- Radio frequency is given in hertz
- Uncertainty is given in metres
- Distances are given in metres or nautical miles
- Depths are given in metres.

## 7.5 Geometric representation

Geometric representation is the digital description of the spatial component of an object as described in S-100 and ISO 19107. This product specification uses three types of geometries: GM\_Point, GM\_OrientableCurve, and GM\_OrientableSurface.

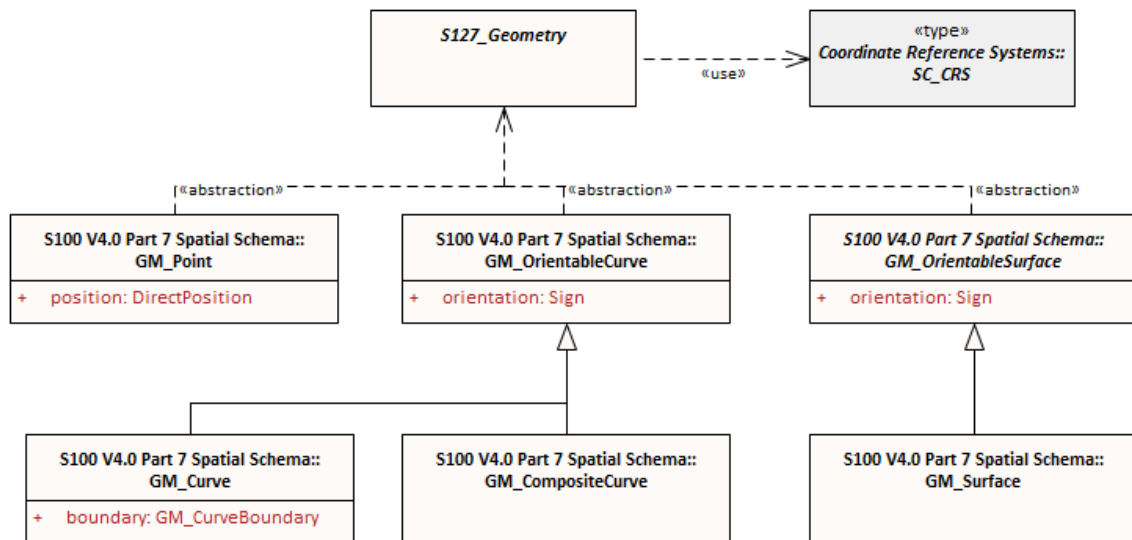


Figure 29. Geometric primitives



## 8 Coordinate reference system (CRS)

### 8.1.1 Introduction

The location of an object in the S-100 standard is defined by means of coordinates which relate a feature to a position. The coordinate reference system used for this product specification is World Geodetic System 1984 (WGS 84) which is defined by the European Petroleum Survey Group (EPSG) code 4326, (or similar - North American Datum 1983 / Canadian Spatial Reference System).

Spatial data are expressed as latitude ( $\phi$ ) and longitude ( $\lambda$ ) geographic coordinates. Latitude values are stored as a negative number to represent a position south of the Equator. Longitude values are stored as a negative number to represent a position west of the Prime Meridian. Coordinates are expressed as real value, degree / degree decimal format. Datasets conforming to this product specification are not projected.

**Horizontal coordinate reference system:** WGS 84

**Projection:** None

**Vertical coordinate reference system:** None<sup>3</sup>

**Temporal reference system:** Gregorian calendar

**Coordinate reference system registry:** [EPSG Geodetic Parameter Registry](#)

**Date type (according to ISO 19115-1):** 002 - publication

### 8.1.2 Horizontal reference system

Positional data is expressed in latitude and longitude geographic coordinates to one of the reference horizontal reference systems defined in the HORDAT attribute. Unless otherwise defined, the World Geodetic System 84 (WGS 84) will be used for MTM data products.

### 8.1.3 Projection

Marine Traffic Management data products are un-projected.

### 8.1.4 Vertical coordinate reference system

Marine Traffic Management data products do not provide detailed vertical information.

### 8.1.5 Temporal reference system

Time is measured by reference to Calendar dates and Clock time in accordance with ISO 19108:2002 Temporal Schema clause 5.4.4.

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<sup>3</sup> Not used in any of the feature classes, therefore not needed.

### 8.1.6 Marine Traffic Management data and scale

MTM data must be compiled in the best applicable scale. The use of the data itself is "scale independent". That means that the data can be used at any scale. S-100 allows the association of multiple spatial attributes to a single feature instance. In principle, each of these spatial attributes can be qualified by maximum and minimum scales.

For example, it is possible, within one dataset, to have a single instance of a feature that has more than one area geometry. Each of these geometries has different scale max/min attributes. Moreover, due to cluttering in smaller scales, the scale minimum attribute may be used to turn off portrayal of some features at smaller scales.

## 9 Data quality

### 9.1 Introduction

Data quality allows users and user systems to assess fitness for use of the provided data. Data quality measures and the associated evaluation are reported as metadata of a data product. This metadata improves interoperability with other data products and provides usage by user groups that the data product was not originally intended for. The secondary users can make assessments of the data product usefulness in their application based on the reported data quality measures.

For S-127 the following data quality elements have been included:

- Conformance to this Product Specification;
- Intended purpose of the data product;
- Completeness of the data product in terms of coverage;
- Logical Consistency;
- Positional Uncertainty and Accuracy;
- Thematic Accuracy;
- Temporal Quality;
- Aggregation measures;
- Elements specifically required for the data product (none currently identified for S-127);
- Validation checks or conformance checks including:
  - General tests for dataset integrity;
  - Specific tests for a specific data model;

### 9.2 Quality measure elements

The data quality measures recommended in S-97 (Part C) and their applicability in S-127 are indicated in Table 9.1 below. NA indicates the measure is not applicable. This table reproduces the first 4 columns of the data quality checklist recommended elements and replaces the final column with descriptions of the scope of the element in the context of S-127 datasets.

No.	Data quality element and sub element	Definition	DQ measure / description	Evaluation scope	Scope in S-127
1	Completeness / Commission	Excess data present in a dataset, as described by the scope.	numberOfExcessItems / This data quality measure indicates the number of items in the dataset, that should not have been present in the dataset.	dataset/dataset series	All features and info types

No.	Data quality element and sub element	Definition	DQ measure / description	Evaluation scope	Scope in S-127
2	Completeness / Commission	Excess data present in a dataset, as described by the scope.	numberOfDuplicateFeatureInstances / This data quality measure indicates the total number of exact duplications of feature instances within the data.	dataset/dataset series	All features and info types
3	Completeness / Omission	Data absent from the dataset, as described by the scope.	numberOfMissingItems / This data quality measure is an indicator that shows that a specific item is missing in the data.	dataset/dataset series/spatial object type	All features and info types
4	Logical Consistency / Conceptual Consistency	Adherence to the rules of a conceptual schema.	numberOfInvalidSurfaceOverlaps / This data quality measure is a count of the total number of erroneous overlaps within the data. Which surfaces may overlap and which must not is application dependent. Not all overlapping surfaces are necessarily erroneous.	spatial object / spatial object type	Features with surface geometry; spatial objects of type surface
5	Logical Consistency / Domain Consistency	Adherence of the values to the value domains.	numberOfNonconformantItems / This data quality measure is a count of all items in the dataset that are not in conformance with their value domain.	spatial object / spatial object type	All features and info types
6	Logical Consistency / Format Consistency	Degree to which data is stored in accordance with the physical structure of the data set, as described by the scope	physicalStructureConflictsNumber / This data quality measure is a count of all items in the dataset that are stored in conflict with the physical structure of the dataset.	dataset/dataset series	All features and info types
7	Logical Consistency / Topological Consistency	Correctness of the explicitly encoded topological characteristics of the dataset, as described by the scope.	rateOfFaultyPointCurveConnections / This data quality measure indicates the number of faulty link-node connections in relation to the number of supposed link-node connections. This data quality measure gives the erroneous point-curve connections in relation to the total number of point-curve connections.	spatial object / spatial object type	Features with curve geometry; spatial objects of curve types
8	Logical Consistency / Topological Consistency	Correctness of the explicitly encoded topological characteristics of the dataset, as described by the scope.	numberOfMissingConnectionsUndershoots / This data quality measure is a count of items in the dataset within the parameter tolerance that are mismatched due to undershoots.	spatial object / spatial object type	Features with curve geometry; spatial objects of curve types
9	Logical Consistency / Topological Consistency	Correctness of the explicitly encoded topological characteristics of the dataset, as described by the scope.	numberOfMissingConnectionsOvershoots / This data quality measure is a count of items in the dataset within the parameter tolerance that are mismatched due to overshoots.	spatial object / spatial object type	Features with curve geometry; spatial objects of curve types

No.	Data quality element and sub element	Definition	DQ measure / description	Evaluation scope	Scope in S-127
10	Logical Consistency / Topological Consistency	Correctness of the explicitly encoded topological characteristics of the dataset, as described by the scope.	numberOfInvalidSlivers / This data quality measure is a count of all items in the dataset that are invalid sliver surfaces. A sliver is an unintended area that occurs when adjacent surfaces are not digitized properly. The borders of the adjacent surfaces may unintentionally gap or overlap to cause a topological error.	dataset / dataset series	Features with surface geometry; spatial objects of type surface
11	Logical Consistency / Topological Consistency	Correctness of the explicitly encoded topological characteristics of the dataset, as described by the scope.	numberOfInvalidSelfIntersects / This data quality measure is a count of all items in the dataset that illegally intersect with themselves.	spatial object / spatial object type	Features with surface geometry; spatial objects of type surface or curve
12	Logical Consistency / Topological Consistency	Correctness of the explicitly encoded topological characteristics of the dataset, as described by the scope.	numberOfInvalidSelfOverlap / This data quality measure is a count of all items in the dataset that illegally self-overlap.	spatial object / spatial object type	Features with surface geometry; spatial objects of type surface or curve
13	Positional Accuracy / Absolute or External Accuracy	Closeness of reported coordinative values to values accepted as or being true.	Root Mean Square Error / Standard deviation, where the true value is not estimated from the observations but known a priori.	spatial object / spatial object type	objects that have coordinative values associated.
14	Positional Accuracy / Vertical Position Accuracy	Closeness of reported coordinative values to values accepted as or being true.	linearMapAccuracy2Sigma / Half length of the interval defined by an upper and lower limit in which the true value lies with probability 95%.	spatial object / spatial object type	NA. S-127 does not include vertical measurements.
15	Positional Accuracy / Horizontal Position Accuracy	Closeness of reported coordinative values to values accepted as or being true.	linearMapAccuracy2Sigma / Half length of the interval defined by an upper and lower limit in which the true value lies with probability 95%.	spatial object / spatial object type	objects that have a horizontal coordinate values associated.
16	Positional Accuracy / Gridded Data Position Accuracy	Closeness of reported coordinative values to values accepted as or being true.	Root mean square error of planimetry / Radius of a circle around the given point, in which the true value lies with probability P.	spatial object / spatial object type	NA. S-127 does not have features with gridded geometry
17	Temporal Quality / Temporal Consistency	Consistency with time.	Correctness of ordered events or sequences, if reported.	dataset/dataset series/spatial object type	Features with time intervals, fixed/periodic date ranges, schedules.

No.	Data quality element and sub element	Definition	DQ measure / description	Evaluation scope	Scope in S-127
18	Thematic Accuracy / ThematicClassification Correctness	Comparison of the classes assigned to features or their attributes to a universe of discourse.	miscalculationRate / This data quality measure indicates the number of incorrectly classified features in relation to the number of features that are supposed to be there. [Adapted from ISO 19157] This is a RATE which is a ratio, and is expressed as a REAL number representing the rational fraction corresponding to the numerator and denominator of the ratio. For example, if there are 1 items that are classified incorrectly and there are 100 of the items in the dataset then the ratio is 1/100 and the reported rate = 0.01.	dataset/dataset series/spatial object type	All features and info types
19	Aggregation Measures / AggregationMeasures	In a data product specification, several requirements are set up for a product to conform to the specification.	DataProductSpecificationPassed / This data quality measure is a boolean indicating that all requirements in the referred data product specification are fulfilled.	dataset/dataset series/spatial object type	Dataset as a whole
20	Aggregation Measures / AggregationMeasures	In a data product specification, several requirements are set up for a product to conform to the specification.	DataProductSpecificationFailRate / This data quality measure is a number indicating the number of data product specification requirements that are not fulfilled by the current product/dataset in relation to the total number of data product specification requirements.	dataset/dataset series/spatial object type	Dataset as a whole

Table 9.1 - IHO recommended quality elements and their relevance to S-127

### 9.3 Test methods

Test methods consist of executing the relevant tests from Annex E (Validation Checks) for each quality element in Table 9.1 and counting the number of instances in the dataset which fail the checks for that quality element.

Note that in some cases “executing the relevant test” may involve comparing the encoded S-127 dataset to the source material by visual means (e.g., for measures 17 and 18). For tests requiring visual comparison of encoded data to source material, sampling methods may be used if the volume of data precludes checking all the relevant data objects.

#### 9.3.1 Accuracy computations

Recommendations for Positional Accuracy / Absolute or External Accuracy:  
Maximum RMSE (horizontal) =  $E / 10000$

Where:

E = Denominator of intended scale of mapping

## 9.4 Data quality testing and reporting

S-127 products must be tested with the S-127 specific checks prior to release by the data producer. The data producer must review the check results and address any issues to ensure sufficient quality of the data products. The checks are a mix of data format validation checks, conformance to standard checks and logical consistency checks. The checks are listed in Annex E.

Production and certification processes for S-127 data should include a standalone quality report which provides full information on the original results (with evaluation procedures and measures applied).

The dataset or exchange set metadata that is distributed with the exchange set may describe only the aggregated result with a reference to the original results described in the standalone quality report. The aggregated Data Quality result provides an indication if the dataset has passed conformance to the Data Product Specification.

Data Quality Measure Aggregation results should be included to indicate if the dataset/dataset series have passed the Product Specifications. The elements which must be included are described in Table 9.2.

Data quality element and sub element	Definition	DQ measure / description	Evaluation scope	Applicable to spatial representation types
Aggregation Measures / AggregationMeasures	In a data product specification, several requirements are set up for a product to conform to the specification.	DataProductSpecificationPassed / This data quality measure is a boolean indicating that all requirements in the referred data product specification are fulfilled.	dataset	All features and information types of the dataset
Aggregation Measures / AggregationMeasures	In a data product specification, several requirements are set up for a product to conform to the specification.	DataProductSpecificationFailRate / This data quality measure is a number indicating the number of data product specification requirements that are not fulfilled by the current product/dataset in relation to the total number of data product specification requirements.	dataset	All features and information types of the dataset

Table 9.2 - Elements of data quality aggregated report (extract from S-97 Part C checklist)

## 10 Data capture and classification

Data source: S-127 products must be based on data sources released by an appropriate MTM defining authority. Data source must be described in each data product.

The production process used to generate MTM products may be described in the comment attribute of the dataset metadata.

## 10.1 Data encoding and product delivery

### 10.1.1 Data encoding

The principal encoding will be the Open Geospatial Consortium (OGC), Geography Markup Language (GML) format. GML is an XML grammar designed to express geographical features. It serves as a modelling language for geographic systems as well as an open interchange format for geographic transactions.

### 10.1.2 Types of datasets

A dataset is a grouping of features, attributes, geometry and metadata which comprises a specific coverage. Table 10.1 lists the types of MTM datasets which may be produced and contained within an exchange set:

Dataset	Explanations
New dataset (base dataset)	Data for an area different (in coverage and/or extent) to existing datasets.
New Edition of a dataset	A re-issue plus new information which has not been previously distributed by Updates. Each New Edition of a dataset must have the same name as the dataset that it replaces and should have the same spatial extents. The edition number in the dataset discovery metadata must increment up by one from the previous edition.
Update dataset	A delta change of the latest edition of a dataset. If there is more than one update dataset, the subsequent update will be a delta of the base dataset + earlier update datasets.
Cancellation	Used to cancel dataset and any related update datasets.

Table 10.1 MTM dataset types

### 10.1.3 Content of update datasets

Update datasets can only contain replacements, deletions, and additions of whole feature instances or information instances. This means that when a feature or information instance is updated, the new version must contain all the attributes of the old instance, including any inline spatial attributes (i.e., inline geometry), except those attributes that are being removed.

An association to an instance of a feature or information type is treated as an attribute of the referring instance, and therefore adding or deleting an association means the original referring instance must be replaced with a new version. The instance at the other end of the association needs to be replaced if and only if it contains a reference to the first instance.

Spatial objects that are not inline (i.e., geometry that is encoded as an independent spatial object in the dataset) is treated like any other object, i.e., it needs to be updated if and only if the primitive has changed (e.g., a coordinate is updated).

Feature and information type instances are deleted without replacement by setting the `fixedDateRange.dateEnd` attribute of the instance to the date of deletion, which will usually be the issue date of the update.

## 10.2 Encoding of latitude and longitude

Values of latitude and longitude must be accurate to 7 decimal places. Coordinates must be encoded as decimals in the format described in clause 10.2.1. The encoding is indicated by multiplication factor fields defined in the dataset identification record.

### 10.2.1 Encoding of coordinates as decimals

Values should be coded as decimal numbers with 7 or fewer digits after the decimal. The normative encoding is in degrees, with an accuracy of  $10^{-7}$  degrees, i.e., 7 digits after the decimal point.

The decimal point must be indicated by the “.” character.

Trailing zeroes after the decimal point (and the decimal point itself if appropriate) may be omitted at producer discretion, but the accuracy must still be as indicated (e.g.,  $10^{-7}$  degrees for coordinates of default accuracy).

Latitude and longitude multiplication factors held in the Dataset Structure Information field under [coordMultFactorX] and [coordMultFactorY] must be set to a value corresponding to the encoding, i.e., {1} for coordinates encoded in decimal degrees.

EXAMPLE 1 A longitude = 42.0000 is converted into  $X = \text{longitude} * \text{coordMultFactorX} = 42.0000 * 1 = 42.0000000$ .

### 10.3 Numeric attribute encoding

Floating point and integer attribute values must not contain leading zeros. Floating point attribute values must not contain non-significant trailing zeros.

### 10.4 Text attribute values

Character strings must be encoded using the character set defined in ISO 10646-1, in Unicode Transformation Format-8 (UTF-8).

### 10.5 Mandatory attribute values

There are four reasons why attribute values may be considered mandatory:

- They determine whether a feature is in the display base,
- Certain features make no logical sense without specific attributes,
- Some attributes are necessary to determine which symbol is to be displayed,
- Some attributes are required for safety of navigation.

All mandatory attributes are identified in the Feature Catalogue and summarised in Annex A – Data Classification and Encoding Guide.

### 10.6 Unknown attribute values

When a mandatory attribute code or tag is present but the attribute value is missing, it means that the producer wishes to indicate that this attribute value is unknown. Missing mandatory attributes must be “nilled.”

Optional attributes must be omitted altogether if the value is unknown or missing. They must not be “nilled.”



**EXAMPLE** A landmark feature has unknown category of landmark (mandatory attribute) and function (optional attribute). The feature could be coded as:

```
<Landmark>
  <categoryOfLandmark xsi:nil="true"/>
  <function>radio</function>
  ... other attributes...
  ... <status> is NOT coded ...
</Landmark>
```

## 10.7 Structure of dataset files

### 10.7.1 Sequence of objects

The order of data objects in each dataset file is described below:

- Dataset Identification Information
- Dataset structure information
- Spatial records for by-reference geometries
  - Point
  - Multi point
  - Curve
  - Composite Curve
  - Surface
- Information objects
- Feature objects (Geometry may be encoded inline or by reference.)
  - Meta features
  - Geo features

## 10.8 Object identifiers

The “name” of feature records must provide a unique world-wide identifier of feature records. The “name” of the record is the combination of the subfields **agency**, **featureObjectIdentifier**, and **featureIdentificationSubdivision** elements of the **featureObjectIdentifier** element of the object.

Features, information types, collection objects, meta features, and geometries (inline or external) are all required by the schema to have a **gml:id** attribute with a value that is unique within the dataset. The **gml:id** values must be used as the reference for the object from another object in the same dataset or another dataset.

MRN identifiers are not included in this version due to ongoing development of the IHO guidelines in the use of MRN for product specifications.

## 10.9 Data coverage

All areas of a dataset must be covered by a **DataCoverage** meta feature.

An update dataset must not change the limit of a **Data Coverage** feature for the base dataset. Where the limit of a **Data Coverage** feature for a base dataset is to be changed, this must be done by issuing a new edition of the dataset.

## 10.10 Data overlap

S-127 datasets must not overlap other S-127 datasets.

## 10.11 Data quality

One or more **QualityOfNonBathymetricData** features must cover the dataset.

## 10.12 Data extent

Datasets must not cross the 180° meridian of longitude

# 11 Data delivery

## 11.1 Data product delivery information

This data product specification defines GML as the primary format in which MTM data products are delivered. The delivery format is described in Table 11.1 by the following items (from ISO 19131:2005): format name, version, specification, language, character set.

Name	ISO 19131 Elements	Value
Format name	DPS_DeliveryInformation.deliveryFormat > DPS_DeliveryFormat.formatName	GML*
Version	DPS_DeliveryInformation.deliveryFormat > DPS_DeliveryFormat.version	3.2.1
Specification description	DPS_DeliveryInformation.deliveryFormat > DPS_DeliveryFormat.specification	GML*
Language	DPS_DeliveryInformation.deliveryFormat > DPS_DeliveryFormat.language	English
Character set	DPS_DeliveryInformation.deliveryFormat > DPS_DeliveryFormat.characterSet > MD_CharacterSetCode	004 – utf8

Table 11.1 Data product delivery

\* GML is an XML encoding for the transport and storage of geographic information, including both the geometry and the properties of geographic features, between distributed systems. The XML Schema for the GML application schema is provided in a schema document S127.xsd which imports other schema(s) defining common types. (All files are available on the S-100 distribution site <https://github.com/IHO-S100WG> ). Feature instances must validate against S127.xsd and conform to all other requirements specified in this data product specification including all constraints not captured in the XML Schema document.

### 11.1.1 Dataset loading

Datasets must always be loaded in the order of base dataset first, then update datasets in the corrected sequential order. Systems are not to load updates out of order, for example if update 1-5 is present, then 6 is missing, update 7 must not be loaded.

### 11.1.2 New editions

When a new edition of a dataset is received, the system must replace the previous edition, along with any updates with the new edition of the dataset. Loading of subsequent updates follow the same rule as above.

### 11.2 Dataset size

MTM datasets must not exceed 20MB.

Update datasets must not exceed 500KB.

### 11.3 Exchange set

Data which conforms to this product specification must be delivered by means of an exchange set.

An exchange set will consist of one or more MTM datasets. An exchange set may also include one or more support files containing supplementary information encoded in separate files. These are linked to the MTM dataset features, by feature and information type attributes defined in the application schema, e.g., **fileReference**. Each exchange set will include a single (XML) catalogue file, S-127 exchange set catalogues conform to S-100 4.0.0 Figure 4a-D-2 without modification, containing discovery metadata for each MTM dataset as well as support files. S-127 Exchange set structure conforms to S-100 4.0.0 Figure 4a-D-3 without modification.

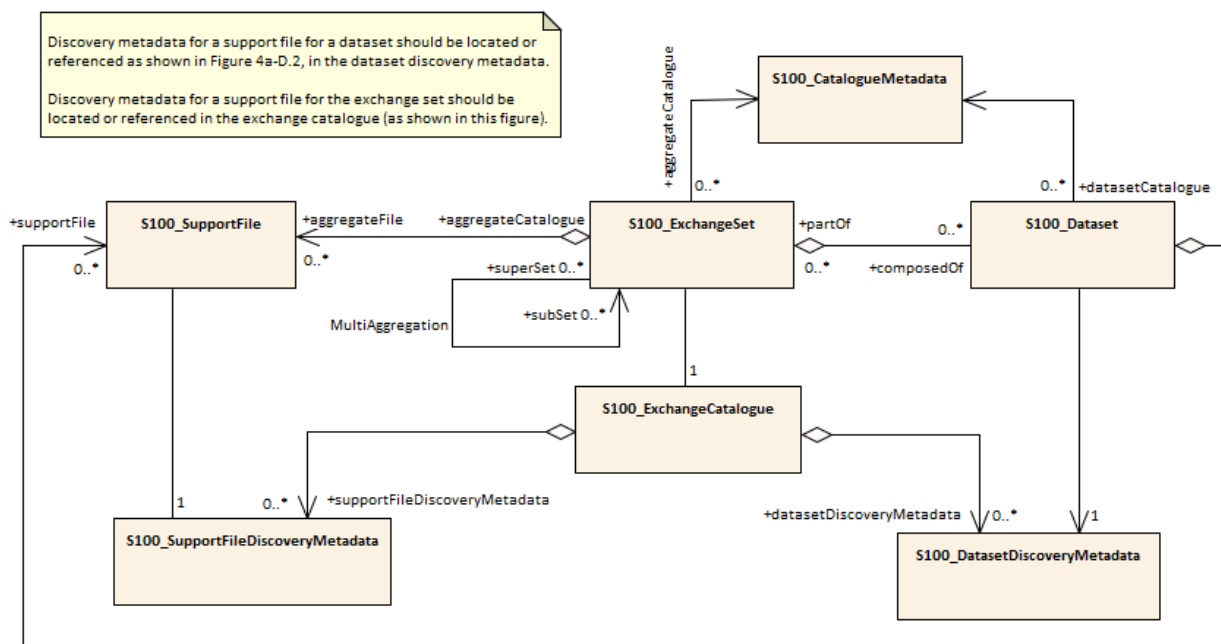


Figure 30 - Exchange set structure

### 11.4 Support files

Support files contain ancillary textual or graphic information in separate (linked to the dataset) files. The following formats are allowed for support files:

- Plain text files must contain only general text as defined in this standard. Files must use the UTF-8 character set encoding.
- HTML and XML files must contain only text and markup as defined in the relevant W3C standards. Files must use the UTF-8 character set encoding. References in datasets to HTML and XML support files must treat them as text files (i.e., they should not be referenced using attributes intended for picture files).
- Picture files must be in the Tagged Image file Format (TIFF) [Edition 6.0].

Table 11.2 describes the constraints on support file formats and provides the corresponding file extensions.

File type	Extension	Description
Text	TXT	
	HTM	HTML files must only include inline or embedded Cascading Style Sheet (CSS) information and must not contain embedded Javascript or other dynamic content, for example DHTML, Flash etc.
	XML	XML documents must only be included in accordance with guidance provided within the Data Classification and Encoding Guide (Annex A) and must not contain embedded Javascript or other dynamic content.
Picture	TIF	Baseline TIFF 6.0.

Table 11.2 Support file formats and extensions

### 11.5 Support file naming convention

All support files will have unique world-wide file identifiers. The file identifier of support information should not be used to describe the physical content of the file. The support file metadata that accompanies the file will inform the user of the name and purpose of the file (new, replacement and deletion).

In this encoding the support files are named according to the specifications given below:

127CCCCXXXXXXXXX.YYY

The main part forms an identifier where:

- The first three characters are always “127” and identify the dataset as an S-127 dataset.
- The next four characters identify the issuing agency by its alphanumeric agency code in the IHO producer code register in the IHO GI Registry (i.e., the IHO is identified as “AA”, not “1810”). Where the agency code consists of fewer than four characters, sufficient zeros must be suffixed to make the length exactly four characters (e.g., “AA00” for IHO).
- The eighth up to the fifteenth character can be used in any way by the producer to provide a unique file name for the dataset. The following characters are allowed in the dataset name, A to Z, 0 to 9 and the special character \_ (underscore). The ninth through fifteenth characters are optional (i.e., at least one character must be used).
- .YYY – support file extension. The YYY portion must conform to the file format as described in Table 11.2.

## 11.6 Dataset naming convention

All dataset files will have unique world-wide file identifiers. The file identifier of the dataset should not be used to describe the physical content of the file. The dataset file metadata that accompanies the file will inform the user of the name and purpose of the file (new, replacement, and deletion).

In this encoding the dataset files are named according to the specifications given below:

127CCCCXXXXXXXXXX.GML

The main part forms an identifier where:

- The first three characters are always “127” and identify the dataset as an S-127 dataset.
- The next four characters identify the issuing agency by its alphanumeric agency code in the IHO producer code register in the IHO GI Registry (i.e., the IHO is identified as “AA”, not “1810”). Where the agency code consists of fewer than four characters, sufficient zeros must be suffixed to make the length exactly four characters (e.g., “AA00” for IHO).
- The eighth up to the seventeenth character can be used in any way by the producer to provide a unique file name for the dataset. The following characters are allowed in the dataset name, A to Z, 0 to 9 and the special character \_ (underscore). The ninth through seventeenth characters are optional (i.e., at least one character must be used).

## 11.7 Update dataset naming convention

All update dataset files will have an identical name to the base dataset, aside from the separator and update number sequence.

In this encoding the update dataset files are named according to the specifications given below:

127CCCCXXXXXXXXXX\_XXX.GML

The main part forms an identifier where:

- The first up to the seventeenth characters are the same as the dataset being updated and therefore conform to the rules described in clause 11.6.
- The next character must be an underscore “\_”.
- The next three characters must be numerical (0-9) characters to indicate the place of the update dataset in the update sequence.

## 11.8 Catalogue file naming convention

The exchange catalogue acts as the table of contents for the exchange set. The catalogue file of the exchange set must be named CATALOG.XML. No other file in the exchange set may be named CATALOG.XML. The content of the exchange catalogue file is described in Clause 14.

# 12 Data maintenance

## 12.1 Introduction

Datasets are maintained as needed and must include mechanisms for MTM updating. Data updates will be made by new editions. The maintenance and update frequency of MTM datasets should be defined by the producers (official national authority) implementing this specification.

Data Producers must use applicable sources to maintain and update data and provide a brief description of the sources that were used to produce the dataset in the appropriate metadata field.**Error! Reference source not found.**

## **12.2 Production process for base and update datasets**

Data Producers should follow their established production processes for maintaining and updating datasets. Data is produced against the DCEG and checked against the appropriate set of validation rules in Appendix E.

## **12.3 Dataset updates and cancellation**

The purpose of issue of the dataset is indicated in the “purpose” field of the dataset discovery metadata. In order to terminate a dataset, an update dataset file is created for which the edition number must be set to 0. This convention is only used to cancel a base dataset file.

Where a dataset is cancelled and its name is reused at a later date, the issue date must be greater than the issue date of the cancelled dataset.

When the dataset is cancelled it must be removed from the system along with all related update datasets and support files.

An exchange set may contain base dataset files and update dataset files for the same datasets. Under these circumstances the update dataset files must follow in the correct sequential order from the last update applied to the base dataset file.

## **12.4 Support file updates**

The purpose of issue is indicated in the “purpose” field of the support file discovery metadata. Support files carrying the “deletion” flag in metadata must be removed from the system. When a feature or information type pointing to a text, picture, or application file is deleted or updated so that it no longer references the file, the system software must check to see whether any other feature or information type references the same file before that file is deleted.

Updates or deletions of a support file may require concurrent updates to feature or information type instance attributes that depend on the file, e.g., pictorialRepresentation, fileReference, and fileLocator attributes.

## **12.5 Feature and portrayal catalogues**

For each new version of the S-127 Product Specification a new feature and portrayal catalogue will be released. The system must be able to manage datasets and their catalogues that are created on different versions of the S-127 Product Specification.

## **12.6 Feature history, versions, and change tracking**

If applications or production systems require versioning of individual instances of feature or information types, maintenance of histories, or change tracking, the methods for versioning, history management, and change tracking and display are left to the application or production system.

## **12.7 Dataset encryption**

Details about dataset encryption are still to be determined, and may mirror the method described in S-101.

## **13 Portrayal**

Portrayal is not defined in this version of S-127 Marine Traffic Management Product Specifications. Users are free to choose the means and methodology of portrayal as they see best suited for their needs. It should be noted that future versions of S-127 may include a portrayal catalogue, and any implementer should therefore anticipate this, and make sufficient provisions in any system supporting S-127.

## **14 Metadata**

### **14.1 Introduction**

The MTM metadata specification conforms to the S-100 metadata specification in Part 4a, which is a profile of the ISO 19115-1 standard. These documents provide a structure for describing digital geographic data and define metadata elements, a common set of metadata terminology, definitions, and extension procedures.

The overall structure of metadata in S-127 exchange sets is the same as in S-100, and is depicted in Figure 31. Metadata in exchange sets consists of discovery metadata for the datasets and support files in the exchange set (classes S100\_DatasetDiscoveryMetadata and S100\_SupportFileDiscoveryMetadata), metadata in ISO 19115-1 format for datasets, and metadata about any feature, portrayal, or interoperability catalogues which are in the exchange set (S100\_CatalogueMetadata).

The discovery metadata classes have numerous attributes which enable important information about the datasets and accompanying support files to be examined without the need to process the data, for example decrypt, decompress, load etc. Other catalogues such as feature and portrayal catalogues can be included in the exchange set in support of the datasets.

More detailed information for the classes is depicted in Figure 32 and details about the metadata classes are provided in clauses 14.2–14.5.

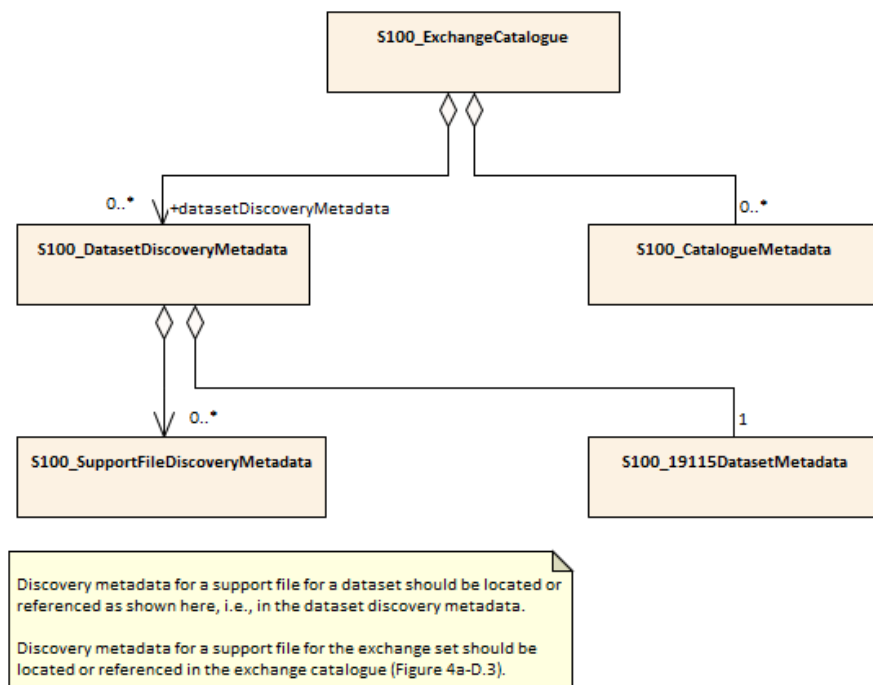


Figure 31. Metadata in exchange catalogue



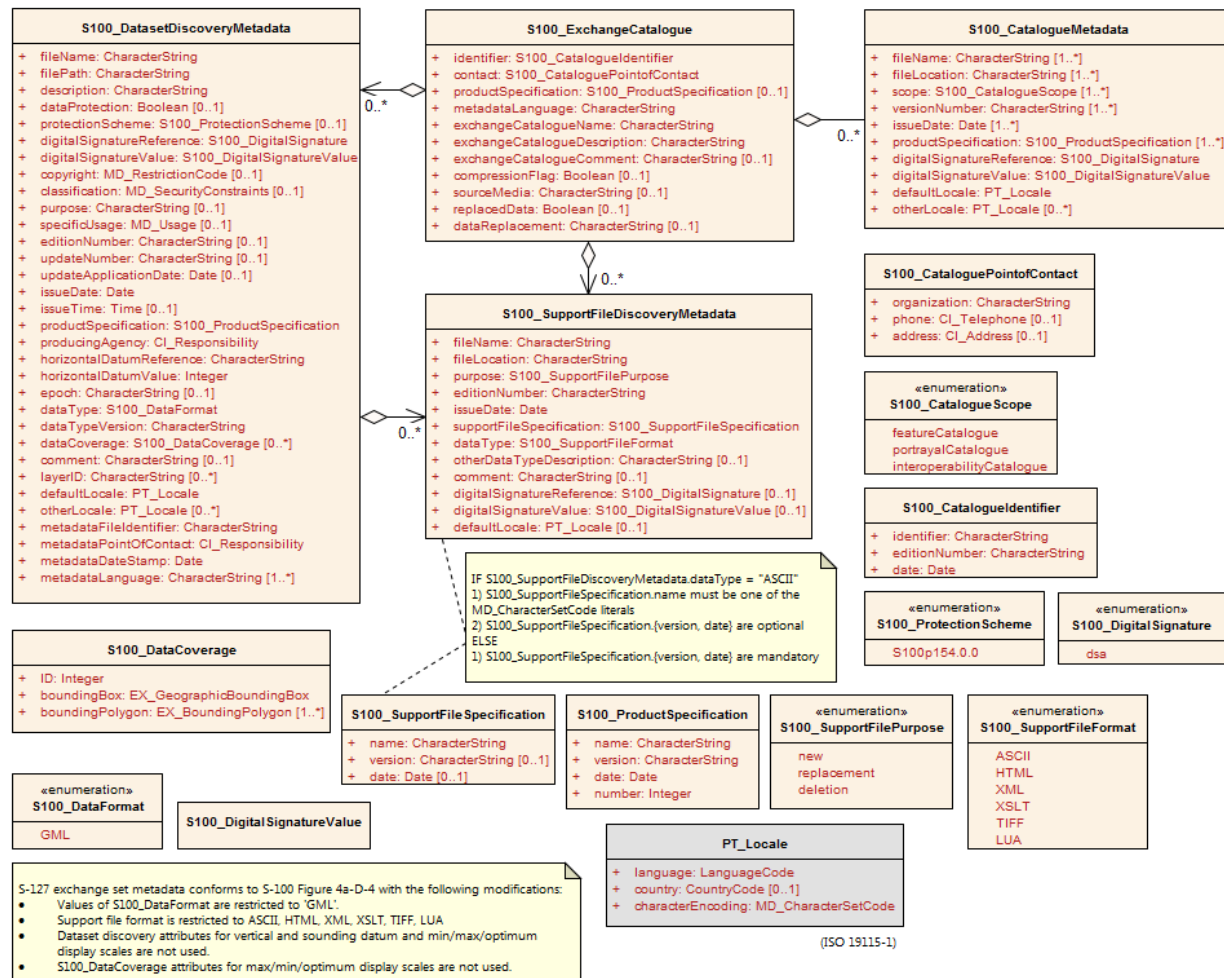


Figure 32. S-127 Exchange catalogue and discovery metadata

NOTE 1: Types with CI\_, EX\_, and MD\_ prefixes are from packages defined in ISO 19115-1 and 19115-3 and adapted by S-100. Types with S100\_ prefix are from packages defined in S-100.

NOTE 2: When a dataset is terminated, the purpose metadata field is set to 3 (terminated), and the editionNumber metadata field is set to 0. All inapplicable but mandatory metadata fields must be nilled.

In Figure 32 and the following clauses, classes show only those attributes which are used in S-127 exchange catalogues. Similarly, enumerations show only those values which are allowed in S-127 exchange catalogues.

## 14.2 Dataset metadata

Dataset metadata is intended to describe information about a dataset. It facilitates the management and exploitation of data and is an important requirement for understanding the characteristics of a dataset. Whereas dataset metadata is usually fairly comprehensive, there is

also a requirement for a constrained subset of metadata elements that are usually required for discovery purposes. Discovery metadata are often used for building web catalogues, and can help users determine whether a product or service is fit for purpose and where they can be obtained.

#### 14.2.1 Metadata for new datasets and new editions

Dataset discovery metadata for new datasets and new editions of published datasets is described in Table 14.1.

Name	Multiplicity	Value	Type	Remarks
S100_DataSetDiscoveryMetadata			Class	The following S-100 attributes are not used: verticalDatum, soundingDatum, optimumDisplayScale, maximumDisplayScale, minimumDisplayScale.
fileName	1		CharacterString	Dataset file name (see 11.6)
filePath	1		CharacterString	Full path from the exchange set root directory
description	1		CharacterString	Short description of the area covered by dataset, e.g., area, harbour, or port name, between two named locations etc.
dataProtection	0..1		Boolean	TRUE: Encrypted FALSE: Unencrypted
protectionScheme	0..1		S100_ProtectionScheme	See Figure 32 and S-100 Appendix 4a-D.
digitalSignatureReference	1		S100_DigitalSignature	Specifies the algorithm used to compute digitalSignatureValue. See Figure 32 and S-100 Appendix 4a-D.
digitalSignatureValue	1		S100_DigitalSignatureValue	The value resulting from application of digitalSignatureReference. Implemented as the digital signature format specified in S-100 Part 15.
copyright	0..1		MD_LegalConstraints>MD_RestrictionCode <copyright> (ISO 19115-1)	"copyright" for copyrighted datasets, omitted otherwise
classification	0..1	(one of the literals from the ISO codelist)	Class MD_SecurityConstraints> MD_ClassificationCode (codelist) ISO 19115-1	1. unclassified 2. restricted 3. confidential 4. secret 5. top secret 6. sensitive but unclassified 7. for official use only 8. protected 9. limited distribution
purpose	1	{1}, {2}	MD_Identification>purpose (character string)	1. New dataset 2. New edition

specificUsage	1		MD_USAGE>specificUsage (character string) MD_USAGE>userContactInfo (CI_Responsibility)	brief description of the resource and/or resource series usage
editionNumber	1		CharacterString	When a dataset is initially created, the edition number “1” is assigned to it. The edition number is increased by one with each new edition.
issueDate	1		Date	Date on which the dataset was generated.
issueTime	0..1		Time	Encoded only if time of issue is significant.
productSpecification	1		S100_ProductSpecification	See Notes below this table for constraints on values.
producingAgency	1		CI_Responsibility>CI_Orga nisation or CI_Responsibility>CI_Indiv idual	Party responsible for generating the dataset. See Part 4a Tables 4a-2 and 4a- 3.
horizontalDatumReference	1	EPSG	CharacterString	
horizontalDatumValue	1	4326	Integer	WGS84
epoch	0..1		CharacterString	For example, G1762 for the 2013-10-16 realization of the geodetic datum for WGS84
dataType	1	GML	S100_DataFormat	The only value allowed is “GML”.
dataTypeVersion	1	3.2.1	CharacterString	
dataCoverage	1..*		S100_DataCoverage	See Figure 32 and S-100 Appendix 4a-D. A new or new- edition S-127 dataset must have at least one coverage.
comment	0..1		CharacterString	Any additional Information
layerID	1..*	S-101	CharacterString	Dataset must be used with ENC in an ECDIS. Mandatory for S-127 new datasets and new editions.
defaultLocale	1		PT_Locale	See Figure 32 and S-100 Appendix 4a-D.
otherLocale	0..*		PT_Locale	See Figure 32 and S-100 Appendix 4a-D.
metadataFileIdentifier	1		CharacterString	For example, identifier for ISO 19115-3 metadata file
metadataPointOfContact	1		CI_Responsibility>CI_Indiv idual or CI_Responsibility>CI_Orga nisation	See S-100 Part 4a Tables 4a-2 and 4a-3.
metadataDateStamp	1		Date	Metadata creation date, which may or may not be the dataset creation date

metadataLanguage	1..*		CharacterString	
--	0..*		Aggregation S100_SupportFileDiscoveryMetadata	One for each support file linked to this dataset and present in the exchange set.

Table 14.1 Dataset discovery metadata

NOTES:

- 1) Attribute *productSpecification*: The values of sub-attributes *name* and *version* must correspond to this version of the S-127 product specification. (Clause 4.2). The value of sub-attribute *number* must be the number assigned to this version of the S-127 product specification in the GI registry.

### 14.2.2 Update and cancellation dataset metadata

Update dataset metadata (Table 14.2) is intended to describe information about an update dataset. It facilitates the management and exploitation of data and is an important requirement for understanding the characteristics of an update dataset. Whereas dataset metadata is usually fairly comprehensive, metadata for update datasets only describe the issue date and sequential relation to the base dataset.

Update dataset discovery metadata omits the *dataCoverage*, *specificUsage* and *layerID* metadata attributes.

Name	Multiplicity	Value	Type	Remarks
S100_DataSetDiscoveryMetadata			Class	The following S-100 attributes are not used for update datasets: verticalDatum, soundingDatum, optimumDisplayScale, maximumDisplayScale, minimumDisplayScale, dataCoverage, specificUsage, layerID.
fileName	1		CharacterString	Dataset file name (see 11.7)
filePath	1		CharacterString	Full path from the exchange set root directory
description	1		CharacterString	Brief description of the update.
dataProtection	0..1		Boolean	Value must be same as base dataset.
protectionScheme	0..1		S100_ProtectionScheme	Value must be same as base dataset.
digitalSignatureReference	1		S100_DigitalSignature	Specifies the algorithm used to compute digitalSignatureValue. See Figure 32 and S-100 Appendix 4a-D.
digitalSignatureValue	1		S100_DigitalSignatureValue	The value resulting from application of digitalSignatureReference.

				Implemented as the digital signature format specified in S-100 Part 15.
copyright	0..1		MD_LegalConstraints>MD_RestrictionCode <copyright> (ISO 19115-1)	Value must be same as base dataset.
classification	0..1	(one of the literals from the ISO codelist)	Class MD_SecurityConstraints>MD_ClassificationCode (codelist)	Value must be same as base dataset.
purpose	1	{3}, {4}	CharacterString	3. Update 4. Cancellation
editionNumber	1		CharacterString	Value must be same as base dataset.
updateNumber	1		CharacterString	Update sequence number, must match file name.
updateApplicationDate	1		Date	Date of update
issueDate	1		Date	Date on which the dataset was generated.
issueTime	0..1		Time	Encoded only if time of issue is significant such as when more than one update is planned in a day.
productSpecification	1		S100_ProductSpecification	Value must be same as base dataset.
producingAgency	1		CI_Responsibility>CI_Organisation or CI_Responsibility>CI_Individual	Party responsible for generating the dataset. See Part 4a Tables 4a-2 and 4a-3.
horizontalDatumReference	1	EPSG	CharacterString	
horizontalDatumValue	1	4326	Integer	WGS84
epoch	0..1		CharacterString	Must be same as base dataset
dataType	1	GML	CharacterString	
dataTypeVersion	1	3.2.1	CharacterString	
comment	0..1		CharacterString	Any additional Information
defaultLocale	1		PT_Locale	Must be same as base dataset. See Figure 32 and S-100 Appendix 4a-D.
otherLocale	0..*		PT_Locale	Must be same as base dataset. See Figure 32 and S-100 Appendix 4a-D.
metadataFileIdentifier	1		CharacterString	For example, for ISO 19115-3 metadata file
metadataPointOfContact	1		CI_Responsibility>CI_Individual or	See S-100 Part 4a Tables 4a-2 and 4a-3.

			CI_Responsibility>CI_Organisation	
metadataDateStamp	1		Date	Metadata creation date, which may or may not be the dataset creation date
metadataLanguage	1..*		CharacterString	Must be same as base dataset
--	0..*		Aggregation S100_SupportFileDiscoveryMetadata	One for each support file that is referenced by the update dataset and present in the exchange set.

Table 14.2 Update dataset metadata

### 14.3 Support file metadata

Support file metadata (Table 14.3) is intended to describe information about a data resource. It facilitates the management and exploitation of data and is an important requirement for understanding the characteristics of a data resource.

Name	Multiplicity	Value	Type	Remarks
S100_SupportFileDiscovery Metadata			Class	
fileName	1		CharacterString	
fileLocation	1		CharacterString	Path relative to the root directory of the exchange set. The location of the file after the exchange set is unpacked into directory <EXCH_ROOT> will be <EXCH_ROOT>/<filePath>/<filename>
purpose	1		S100_SupportFilePurpose	new, replacement, or deletion. Values "replacement" and "deletion" are allowed only in update datasets.
editionNumber	1		CharacterString	When a dataset is initially created, the edition number 1 is assigned to it. The edition number is increased by 1 at each new edition. Edition number remains the same for a re-issue
issueDate	1		Date	
supportFileSpecification	1		S100_SupportFileSpecification	See Figure 32 and S-100 Appendix 4a-D.
dataType	1		S100_SupportFileFormat	The only values allowed for support files referenced in datasets are: ASCII (for text files), TIFF, and HTML. Values XML, XSLT, and LUA are reserved for portrayal catalogue files.

otherDataTypeDescription	0..1		CharacterString	
comment	0..1		CharacterString	
digitalSignatureReference	0..1		S100_DigitalSignature	Specifies the algorithm used to compute digitalSignatureValue. See Figure 32 and S-100 Appendix 4a-D.
digitalSignatureValue	0..1		S100_DigitalSignatureValue	The value resulting from application of digitalSignatureReference. Implemented as the digital signature format specified in S-100 Part 15.
defaultLocale	1		PT_Locale	See Figure 32 and S-100 Appendix 4a-D.
otherLocale	0..*		PT_Locale	See Figure 32 and S-100 Appendix 4a-D.

Table 14.3 Support file metadata

## 14.4 Exchange set catalogue and metadata

Frequently datasets are packaged and distributed as composite exchange sets by third party vendors. An exchange set could contain datasets sourced from different data producers. For example, an exchange set may contain numerous dataset files, ancillary data files, discovery metadata files and others. Exchange set metadata contains metadata about the contents of the exchange set and metadata about the data distributor.

### 14.4.1 Exchange catalogue file

All S-127 Exchange Catalogue files must contain at least the mandatory metadata elements in Table 14.4.

Name	Multiplicity	Value	Type	Remarks
S100_ExchangeCatalogue			Class	
identifier	1		S100_CatalogueIdentifier	See Notes below this table.
contact	1		S100_CataloguePointOfContact	No special constraints on the S-100 class.
productSpecification	0..1		S100_ProductSpecification	Conditional on all the datasets using the same product specification. See note below this table for constraints on values.
metadataLanguage	1	English	CharacterString	All datasets conforming to this PS must use English language. A catalogue in English must be provided. Discovery metadata elements within catalogues have their own locale attributes and may be repeated in languages other than English.

exchangeCatalogueName	1	CATALOG.XML	CharacterString	Catalogue filename
exchangeCatalogueDescription	1		CharacterString	
exchangeCatalogueComment	0..1		CharacterString	Any additional Information
compressionFlag	0..1		Boolean	TRUE: compressed FALSE: not compressed If compressed, the method must be that specified in S-100 Part 15.
sourceMedia	0..1		CharacterString	
replacedData	0..1		Boolean	
dataReplacement	0..1		CharacterString	
datasetDiscoveryMetadata	0..*		Aggregation S100_DatasetDiscoveryMetadata	
--	0..*		Aggregation S100_CatalogueMetadata	Metadata for the feature, portrayal, and interoperability catalogues, if any
supportFileDiscoveryMetadata	0..*		Aggregation S100_SupportFileDiscoveryMetadata	

Table 14.4 S100\_ExchangeCatalogue

NOTES:

- 1) Attribute *productSpecification*: Class **S100\_ProductSpecification** is depicted in Figure 32 and defined in S-100 Appendix 4a-D. The values of sub-attributes *name* and *version* must correspond to this version of the S-127 product specification. (Clause 4.2). The value of sub-attribute *number* must be the number assigned to this version of the S-127 product specification in the GI registry.
- 2) Attribute *catalogueIdentifier*: Class **S100\_CatalogueIdentifier** is depicted in Figure 32 and defined in S-100 Appendix 4a-D. The value of sub-attribute *S100\_CatalogueIdentifier>identifier* must be chosen so that a 1/1 mapping from exchange set name to catalogue identifier is recommended. This assumes a system for assigning unique names to exchange sets – as opposed to datasets – is developed, either by the producer or in this specification. Note that an exchange set may contain multiple datasets.

## 14.5 Metadata about feature and other catalogues

**S100\_CatalogueMetadata** describes feature, portrayal, and interoperability catalogues. This is an optional element that allows for descriptions of feature, interoperability, and portrayal catalogues that are delivered within the exchange set. This class is described in S-100 Part 4a Appendix 4a-D. S-127 uses the S-100 class without modification, with the following constraints on allowed values:

- 1) Attribute *productSpecification*: For feature and portrayal catalogues, the values of sub-attributes *name* and *version* must correspond to this version of the S-127 product



specification. (Clause 4.2). For interoperability catalogues, the values of sub-attributes *name* and *version* must correspond to the appropriate version of the S-98 product specification.

- 2) Attribute *productSpecification*: The value of sub-attribute *number* must be the number assigned to this version of the S-127 product specification in the GI registry. For interoperability catalogues, the values of sub-attribute *number* must correspond to the appropriate version of the S-98 product specification.

## 15 Appendix D-1. GML Data Format Overview

This data format conforms to the profile described in S-100 Part 10b, which is based on GML. Each dataset in the XML dataset format consists of a root or container element *DataSet*, whose structure is shown in Figure 33 below. A *Dataset* contains optional header information identifying the dataset (contained in element *DatasetIdentificationInformation*) and providing parameters (within element *DatasetStructureInformation*), followed by 0 or more spatial objects (points, curves, or surfaces – these replace the S100:Geometry box in the figure), then information and feature objects (within *imember* and *member* container elements respectively). *Dataset*, *imember*, and *member* elements are format constructs and not part of the application schema. Also, the root Dataset element is derived from *gml:AbstractFeatureType* (another GML data format idiom). Figure 33 shows the top-level structure of a dataset.

The top-level *Dataset* element includes the dataset bounding box (*gml:boundedBy* - not required by the application schema, but used by GML off-the-shelf software).

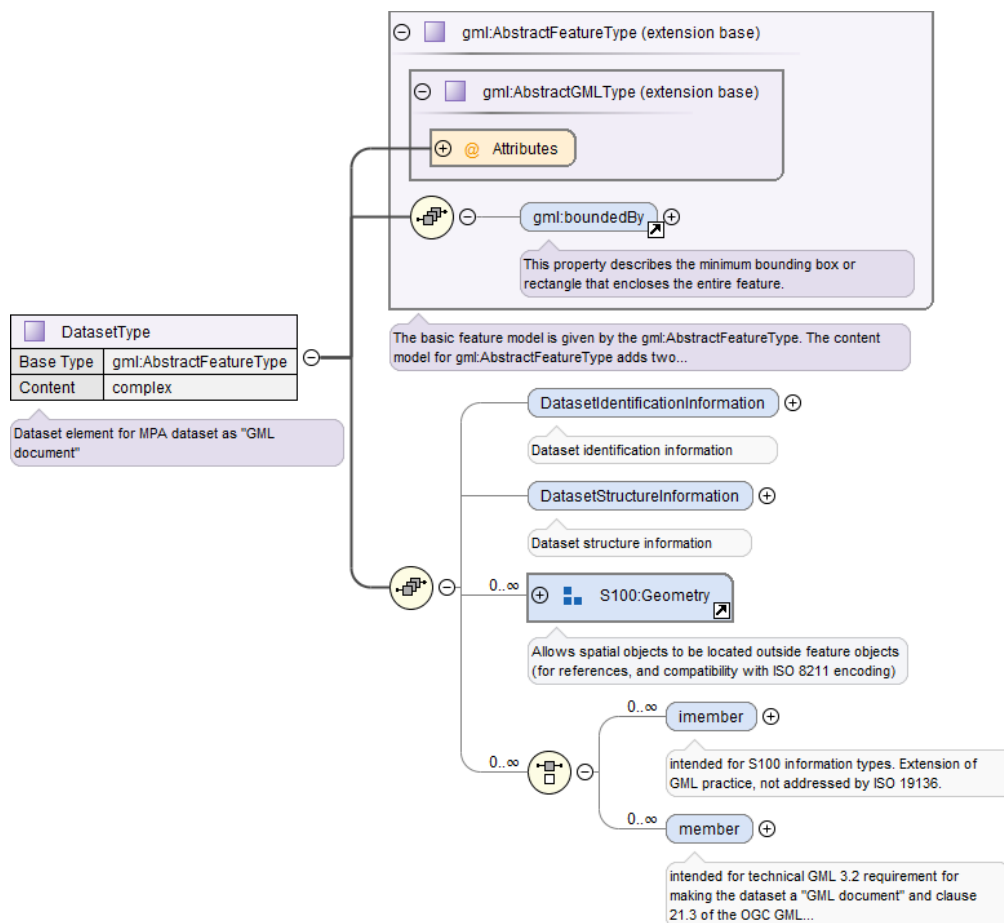


Figure 33 - Top-level structure of dataset

Feature and information type instances are placed in *<member>* and *<imember>* containers respectively. The figures that follow show the allowed feature (Figure 34) and information instances (Figure 35) respectively. Following GML idiom, the schema uses the XML substitution groups mechanism to include the allowed feature and information types.

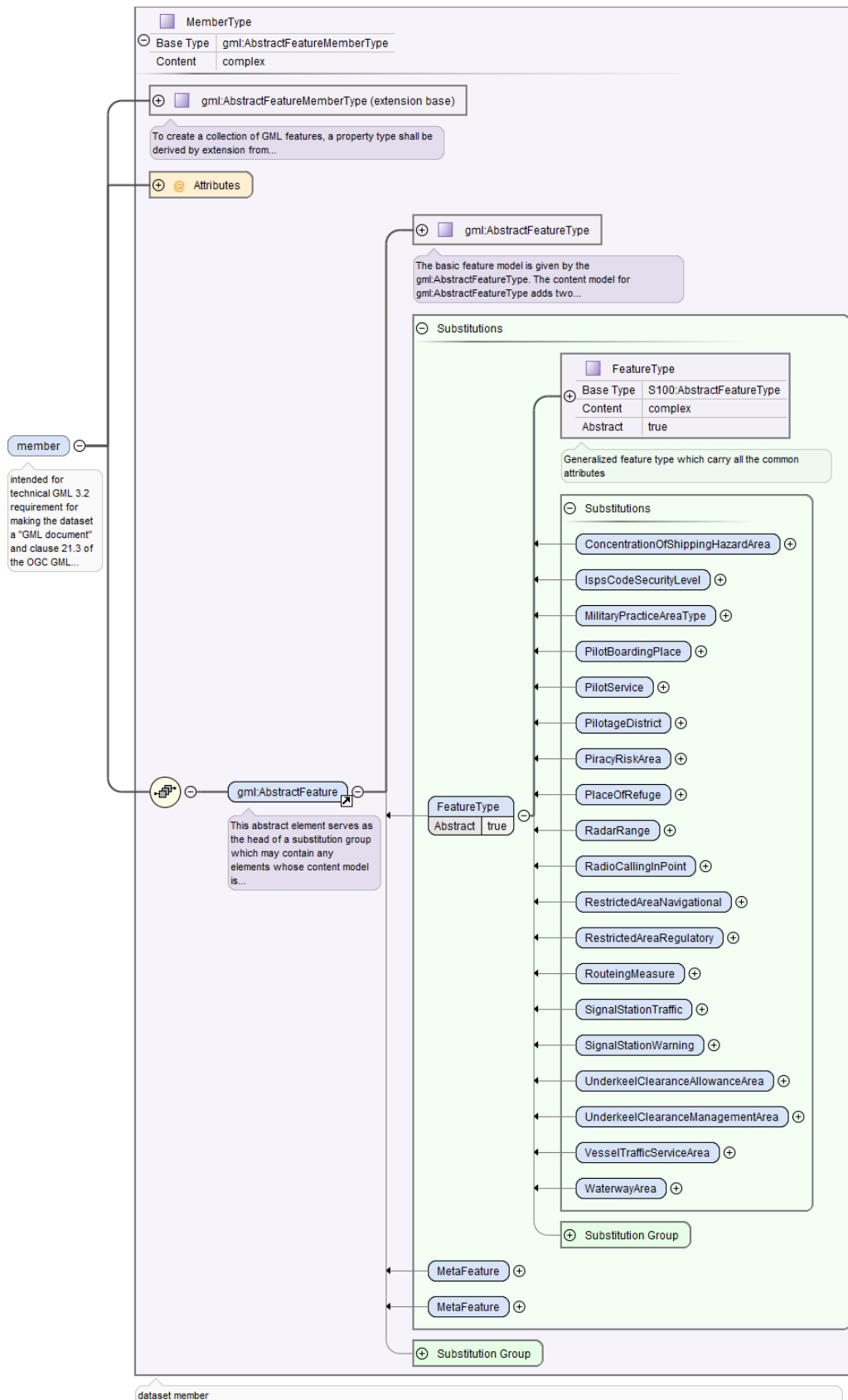


Figure 34. Features in the data format

Note that even when abstract feature types are included in the substitution groups, they act only as stand-ins for their non-abstract sub-types. This means that for example, there cannot

be any instances of **ReportableServiceArea** itself – instead, it acts as a stand-in for its non-abstract subtypes **VesselTrafficServiceArea**, **PiracyRiskArea**, etc,

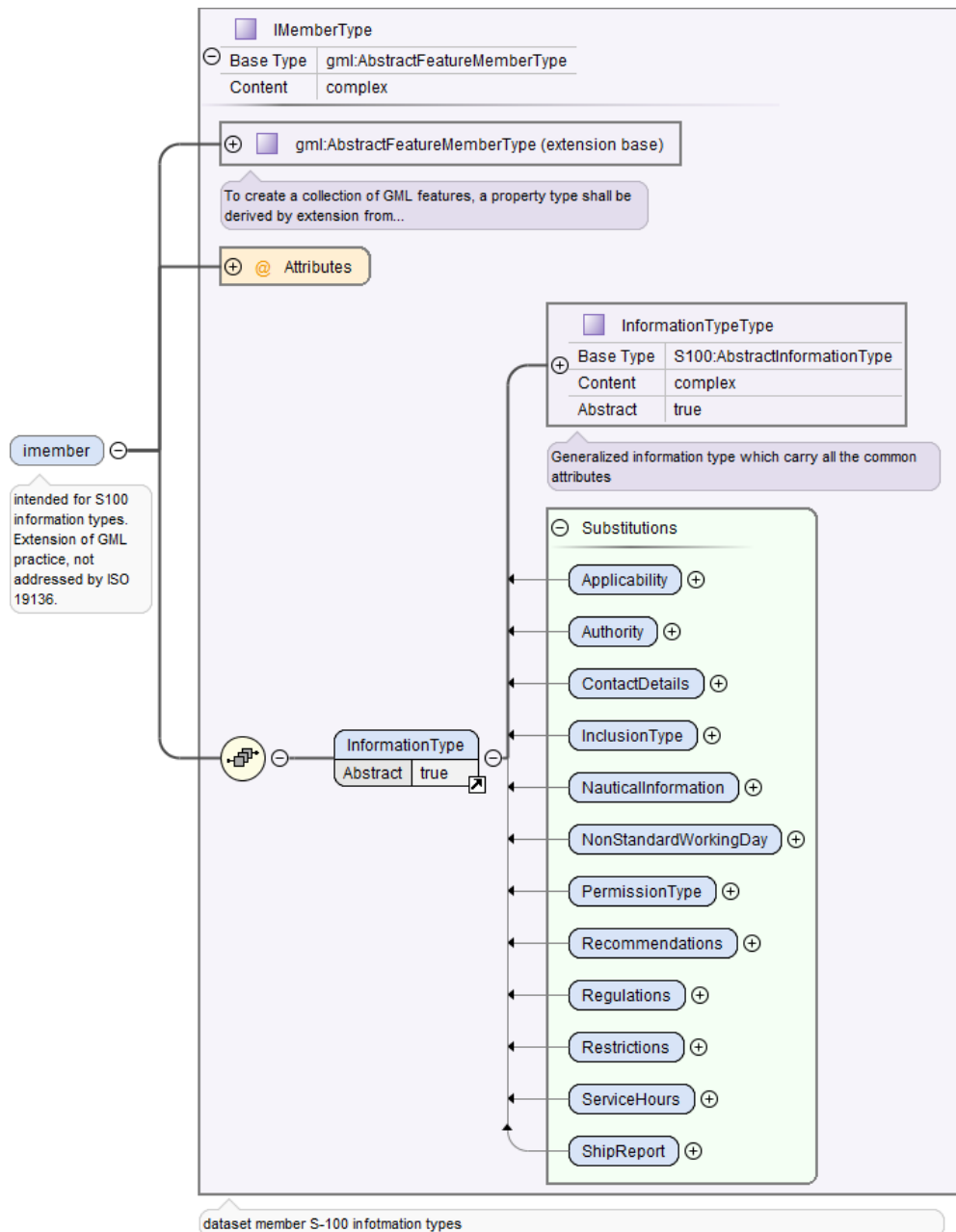


Figure 35. Information types in the data format

The structure of an example feature is shown in Figure 36. The **PilotageDistrict** feature inherits the attributes of the S-127 abstract feature **FeatureType**, which in turn is derived from generic S-100 type defined in the GML profile described in S-100 Part 10b; that in turn derives from the GML **AbstractFeature** type. **PilotageDistrict** therefore inherits attributes from S-127 abstract type **FeatureType** (attributes *fixedDateRange*, *periodicDateRange*, *featureName*, *sourceIndication*, *textContent*) as also its association roles (*permission*, *providesInformation*, *positions*.) It also inherits the generic attributes and associations bound in the S-100 GML profile (*featureObjectIdentifier*, etc.). Attributes and association roles bound locally in the **PilotageDistrict** (*communicationChannel*, *serviceProvider*) are shown below the parent's type in the figure.

Detailed documentation generated from the XSD file accompanies this specification as a separate document (Appendix D-2).

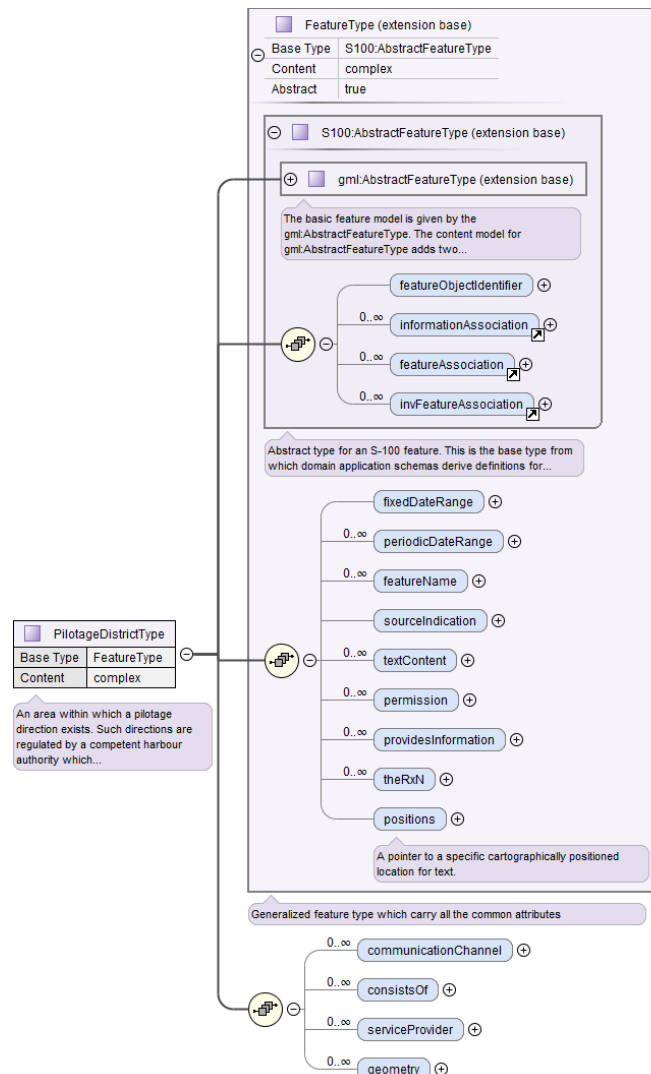


Figure 36. Structure of Marine Traffic Management feature in the GML data format

Figure 37 shows an example of a **PilotageDistrict** feature instance. This feature is associated to a **PilotBoardingPlace** feature instance (the tag `<consistsOf` with the target feature instance indicated by the `xlink:href=“...”` attributes). The `consistsOf` tag also contains an `xlink:role` XML attribute whose value indicate the role. It is also associated with two pilot services (the `<serviceProvider` tags, with the target feature instances indicated by the `xlink:href=“...”` attribute in each).

```

- <S127:PilotageDistrict gml:id="JS.PILDST.04">
- <featureName>
- <name>
Micklefirth Pilotage District ((Micklefirth Channel and Old Channel)
</name>
</featureName>
- <textContent>
- <information>
<language>eng</language>
<text>Subdistrict West of Mickleden LANBY </text>
</information>
</textContent>
<permission xlink:href="#JS.APPLIC.PERM.03" xlink:arcrole="http://www.iho.int/s127/gml/1.0/roles/permission"/>
<permission xlink:href="#JS.APPLIC.PERM.04" xlink:arcrole="http://www.iho.int/s127/gml/1.0/roles/permission"/>
<consistsOf xlink:href="#JS.PILBOP.02" xlink:arcrole="http://www.iho.int/s127/gml/1.0/roles/consistsOf"/>
<serviceProvider xlink:href="#JS.PLTSRV.01" xlink:arcrole="http://www.iho.int/s127/gml/1.0/roles/serviceProvider"/>
<serviceProvider xlink:href="#JS.PLTSRV.02" xlink:arcrole="http://www.iho.int/s127/gml/1.0/roles/serviceProvider"/>
+ <geometry></geometry>
</S127:PilotageDistrict>

```

Figure 37 - Extract from sample GML dataset

Notes:

- 1) Directed associations in the application schema may be encoded only in the instance at the source end. Encoding the reverse link (target to source) is optional. This is for compatibility with other encodings.
- 2) In S-100 edition 4.0.0, feature catalogues do not allow feature bindings to be added to information types because the S-100 GFM does not permit information types to have feature bindings, nor does the ISO 8211 encoding in S-100 Part 10a permit such. In the interests of consistency across encodings, reverse links between information types and feature types are not used in GML format. If it is necessary to encode such reverse links from an information type to a feature type, the generic *invInformationAssociation* element, which is defined in *S100:AbstractInformationType* in the S-100 profile for Edition 4.0.0 and is the base type for all information types.

The format for update datasets is the same as for base datasets. A replacement feature instance, information type instance, or spatial object will have the same gml:id XML attribute as the instance it replaces – GML applications can still distinguish between original and replacement by using the update dataset file name as a prefix to the gml:id value.

## Feature Catalogue

**Name:** Marine Traffic Management Feature Catalogue

**Scope:**

**Version Number:** 1.0.0

**Version Date:** 2018-11-29

**Producer:**

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**Language:** English

(See Annex with review print of Feature Catalogue.)